

1. Project No. SWUTC/09/167177-1		2. Government Accession No.		3. Recipient's Catalog No.	
4. Title and Subtitle Transit Services for Sprawling Areas with Relatively Low Demand Density: A Pilot Study in the Texas Border's Colonias				5. Report Date February 2009	
				6. Performing Organization Code	
7. Author(s) Luca Quadrifoglio, Shailesh Chandra and Chung-Wei Shen				8. Performing Organization Report No.	
9. Performing Organization Name and Address Texas Transportation Institute The Texas A&M University System College Station, TX 77843-3135				10. Work Unit No. (TRAVIS)	
				11. Contract or Grant No. 10727	
12. Sponsoring Agency Name and Address Southwest Region University Transportation Center Texas Transportation Institute Texas A&M University System College Station, Texas 77843-3135				13. Type of Report and Period Covered	
				14. Sponsoring Agency Code	
15. Supplementary Notes Supported by general revenues from the State of Texas.					
16. Abstract  The colonias along the Texas-Mexico border are one of the most rapidly growing areas in Texas. Because of the relatively low-income of the residents and an inadequate availability of transportation services, the need for basic social activities for the colonias cannot be properly met. The objective of this study is a to have a better comprehension of the status quo of this communities, examine the potential demand for an improved transportation service as well as evaluate the capacity and optimum service time interval of a new demand responsive transit "feeder" service within one representative colonia, El Cenizo. We present a comprehensive analysis of the results of a survey conducted through a questionnaire to evaluate the existing travel patterns and the potential demand for a feeder service. The results from the subsequent simulation analysis showed that a single shuttle would be able to comfortably serve 150 passengers/day and that the optimal headway between consecutive departures from the terminal should be between 11-13 minutes for best service quality. This exploratory study should serve as a first step towards improving transportation services within these growing underprivileged communities, especially for those with demographics and geometry similar to our target area of El Cenizo.					
17. Key Word  Flexible Transit, Demand Responsive, Insertion Heuristic, Saturation Point, Optimal Headway			18. Distribution Statement No restrictions. This document is available to the public through NTIS: National Technical Information Service 5285 Port Royal Road Springfield, Virginia 22161		
19. Security Classif. (of this report) Unclassified		20. Security Classif. (of this page) Unclassified		21. No. of Pages 86	22. Price



**Transit Services for Sprawling Areas with Relatively Low Demand Density:  
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by  
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Research Report SWUTC/09/167177-1

Study Title:  
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A Pilot Study in the Texas Border's Colonias

Research jointly funded by:  
Southwest Region University Transportation Center  
Project #167177-1

University Transportation Center for Mobility  
Project #07-02

Texas Transportation Institute  
Texas A&M University System  
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College Station, TX 77843-3135

February 2009



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## **ACKNOWLEDGMENTS**

The authors recognize that support for this research was provided by a grant from the U.S. Department of Transportation, University Transportation Centers Program to the Southwest Region University Transportation Center which is funded, in part, with general revenue funds from the State of Texas. We would like to thank the representatives of the Center for Housing and Urban Development (CHUD) for their guidance, help and support. We are particularly grateful to: Dr. Jorge Vanegas (Director), Mr. Oscar Munoz (Deputy Director), Mr. Pete Lara (Associate Director for the Central Rio Grande Region) and Mrs. Sara Buentello (Program Coordinator for the Colonias Program). We would also like to express our gratitude to the Promotoras in Laredo, TX, who work very hard and unbelievably efficiently in getting the survey questionnaires completed by the residents of El Cenizo, TX. We would have not been able to accomplish our research study without their help.

## EXECUTIVE SUMMARY

Colonias are unincorporated settlements outside city boundaries along the US – Mexico border. Texas has not only has the largest number of colonias, but also the highest colonia population, more than 400,000 people. Colonias are underprivileged communities whose residents are facing many fundamental problems. For example, most of the housing is not built according to code standards and lack indoor bathrooms or plumbing; there is a lack of a potable water supply and a lack of proper health care services, such as access to hospitals and clinics which have further aggravated these problems. The unemployment rate situation is also not good, ranging from 20% to 60%. Another major issue among the colonias is the level of education, since the dropout rate from schools is excessively high.

All the above problems are severely worsened, if not partially caused, by a general lack of acceptable transportation services and facilities. The existing unpaved roads are difficult for any vehicle to traverse on. This problem becomes aggravated at times of heavy rainfall, since roads become muddy and it makes it very difficult to walk as well. Thus, school-bus operations, medical vans, transit vehicle and private cars/trucks cannot be used as desired. In addition, most residents do not own a private vehicle and the existing public transportation system is inadequate. The large distance and limited means of private transportation between the colonias and the closest city denies the colonia residents easy access to jobs, health care facilities and grocery stores for meeting their basic needs.

El Cenizo is a colonia located in Webb County, TX, about 15 miles south of Laredo, and it has been chosen for our study. According to the U.S. Census 2007 the population for Jun 1<sup>st</sup>, 2007 was 3,823. The total area is nearly 0.5 square miles with the number of households being 730. Approximately 98.9% of the population is Hispanic or Latino and 82.7% of them are of Mexican origin. The age distribution of El Cenizo comprises 52.9% of the population under 19 year old, 41.9% between 20 and 60, and only 5.1% of them are over 60. Although the economic situation of El Cenizo has improved and is still developing, about 66.3% of families are below the poverty line.

In this research study, a questionnaire has been designed to survey the existing travel patterns in El Cenizo. We collected basic demographic data and current travel demand patterns, in the form of spatial and temporal distribution. The average household size is found to be 4.25, larger than the average of whole country (2.5). The average number of private vehicle is 1.13. It is also found that approximately one-fourth of the households do not own any private vehicle. Travel distributions are found to be consistent with expectations, with a typical double peak temporal pattern and uniform spatial pattern. We also aimed to understand the potential appreciation for a new demand responsive shuttle transit service and we found that more than three-fourths of the respondents are willing, at least likely, to use a hypothetical new shuttle feeder service within their community.

The survey results are then used as input for the simulation model in order to evaluate the feasibility and design of a new demand responsive feeder transit service. Since none of the existing distributions satisfactorily matched the actual survey data through visual and Chi-Square tests, a custom distribution was developed to closely match the survey data. This generalized distribution is a linear approximation of the actual departure and arrival times. Additional statistical tests were performed to validate our developed distribution, ultimately used to feed our simulation model, developed in MATLAB.

Generated demand was then sampled from the developed and verified distribution. Customers were assigned to the closest point in the street network of El Cenizo, where the hypothetical van would perform the pick-up/drop-off operations. This assignment procedure was performed by solving a constrained nonlinear optimization problem.

The feeder Demand-Response Transit (DRT) service could be defined as one vehicle operating continuously during the day. Vehicle starts from the terminal every  $h$  minutes to serve customers door-to-terminal and terminal-to-door in a shared ride fashion. Scheduling must be performed wisely in order to be able to come back to the terminal after  $h$  minutes of operations. To perform the scheduling operation, Dijkstra's algorithm has been employed to calculate the shortest paths between each pair of demand points; an insertion heuristic algorithm has been adopted to



calculate the actual schedule of the vehicle. A proper use of sparse matrix was employed to perform these computational tasks more efficiently.

Simulations were carried out to estimate the capacity of the proposed service. Results indicated that a single demand responsive feeder transit service would be able to comfortably serve a maximum of 150 passengers/day, corresponding to about 8% of the total daily demand in El Cenizo. This percentage is approximately double the national transit usage average of the commuters in the United States. We could conclude that a single vehicle DRT service would suffice for serving the transportation needs of El Cenizo, assuming that residents' behavior would fall within national statistics. We would, however, expect a transit usage above average for colonias because of the poverty level (less private cars) and because of the more desirable demand responsive characteristic of the proposed service.

The last part of the study was devoted to estimating the optimal headway between consecutive vehicles to maximize service quality provided to customers, a combination of waiting time and riding time. An optimal value ranging between 11 and 13 minutes was found for plausible demand ranges. These values can be used by planners for design purposes of a new transit bus service within El Cenizo or areas with similar demographics and geometry.

This exploratory study should serve as one of the first steps towards understanding and improving transportation services within these growing underprivileged communities, especially for areas with demographics and geometry similar to our target area of El Cenizo.

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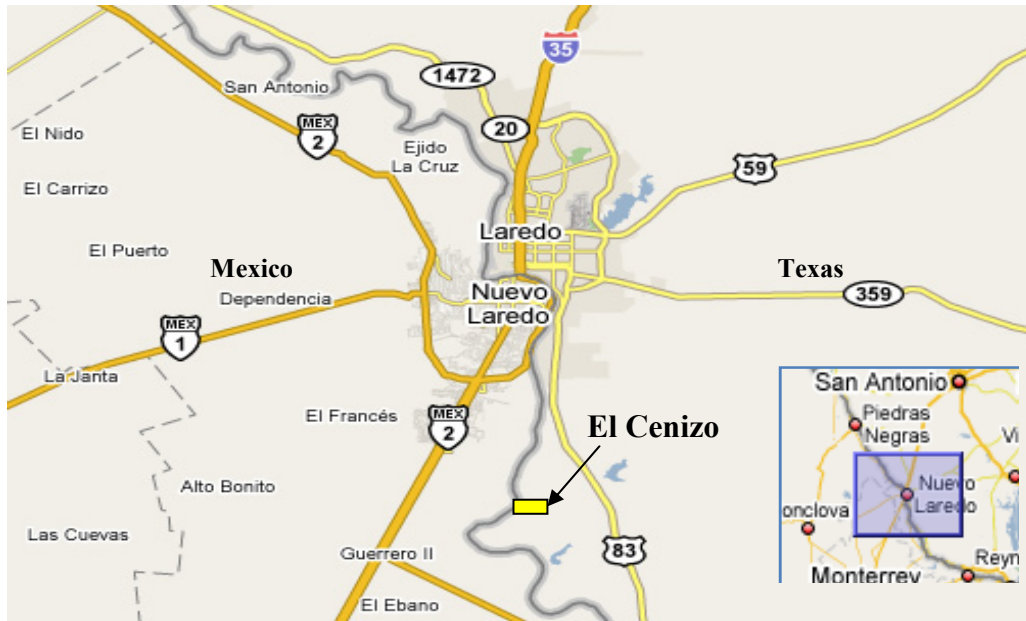
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## CHAPTER 1. INTRODUCTION

Colonias are unincorporated settlements outside city boundaries along the US – Mexico border. Thousands of residents live in these relatively underdeveloped areas, which can be found in New Mexico, Arizona, California and Texas, but the latter state not only has the largest number of colonias, but also the highest colonia population; in fact, more than 400,000 people live in the colonias in Texas.

El Cenizo, adjacent to the Rio Grande River, is a colonia located in Webb County, TX, about 15 miles south of Laredo (Figure 1). According to the U.S. Census 2000, the total population of El Cenizo is 3,545 and the population for Jun 1<sup>st</sup>, 2007 was 3,823. The total area is nearly 0.5 square miles with the number of households being 730. Approximately 98.9% of the population is Hispanic or Latino and 82.7% of them are of Mexican origin. The age distribution of El Cenizo comprises 52.9% of the population under 19 year old, 41.9% between 20 and 60, and only 5.1% of them are over 60.

Although the economic situation of El Cenizo has improved and is still developing, about 66.3% of families and 68.1% of the population are below the poverty line in these colonias [1]. Due to a relatively low income, families living in these areas cannot afford the high housing costs in Laredo. They only have the option of living in the colonias surrounding the city, such as El Cenizo, which has relatively lower housing prices.



**FIGURE 1 : El Cenizo, TX (Source : Google Map)**

Many problems exist in the colonias. The most important one concerning the residents is the lack of potable water that is needed on a daily basis. Residents have to buy and transport water from water stations [2]. Most residents use barrels, 500 gallon bladders and drums to store the water that have minimal protection from contamination [3]. Then, there is the problem of substandard housing in which the residents live. Most of the houses are not built according to the code standards and lack indoor bathrooms or plumbing. As shown by past studies conducted by the University of Texas System Texas-Mexico Border Health Coordination Office, the general lack of hygiene causes residents of these colonias to be almost twice as prone to communicable diseases such as tuberculosis and hepatitis A than in any part of Texas. In addition, there is a lack of proper health care services such as access to hospitals and clinics which have further aggravated these problems.

The employment situation is also not good. While the overall unemployment rate in Texas is about 7%, in the colonias it ranges from 20% to 60% [2]. Most of the employment for the

colonias' residents are related to agricultural service providers and construction. These jobs form the basis of employment for the young and unskilled workforce. However, many of these jobs are low paying and seasonal which adds to the unemployment for the majority of the residents. Another major issue among the colonias is the level of education, since the dropout rate from schools is excessively high.

All the above problems are severely worsened, if not partially caused, by a general lack of acceptable transportation services and facilities. The existing unpaved roads are difficult for any vehicle to traverse on. This problem becomes aggravated at times of heavy rainfall, since roads become muddy and it makes it very difficult to walk as well. Thus, school-bus operations, medical vans, transit vehicle and private cars/trucks cannot be used as desired. The large distance and limited means of private transportation between the colonias and the closest city denies the colonia residents easy access to jobs, health care facilities and grocery stores for meeting their basic needs. Below we present a brief summary of the existing transportation services currently provided to El Cenizo residents.

a. Rural Transportation: El Aguilar, operated by the Laredo Webb County Community Action Agency, provides fixed routes and demand responsive rural public transportation to unincorporated areas within Webb County. It is a small transit operation with a limited number of vehicles and seating capacity. El Aguilar currently operates a total of five fixed routes. These five routes provide service to the colonia communities of Mirando City, Oilton, Bruni and the colonias of Larga Vista, Tanquecitos, D-5 Acres, San Carlos, Ranchitos, Laredo Ranchettes, and Pueblo Nuevo located east of Laredo along Highway 359. Also served are the City of Encinal, located off IH 35 just north of the Webb County line in LaSalle County, and the colonias towns of El Cenizo and Rio Bravo, located along Highway 83 in southeastern Webb County. In

general, each of these routes is designed to transport passengers only to Jarvis Plaza, the central transfer point for El Metro, the municipal bus service of Laredo. The cost for a one-way trip on El Aguilar is 75 cents. Tickets must be purchased in advance because cash is not accepted on board the bus [4].

b. Medical transportation: Medical transportation is provided by LeFleur Transportation and managed by TxDOT-MTP (Medical Transportation Program). MTP usually needs two working days for most routine trips and five working days for others. When next day service is needed, MTP makes every effort to arrange transportation, but may not always be able to schedule it with contractors responsible for that area. This service can be requested online or over the telephone.

c. In 1996, the Texas A&M University Center for Housing and Urban Development (CHUD), working with the Texas Transportation Institute, began a demonstration project designed to improve the lives of impoverished people living in colonias along the Texas border. After evaluating the needs of the colonias, Southwest University Transportation Center (SWUTC) developed a demonstration project to supply a 15-passenger van for transportation service for the colonias along a ten-mile stretch of Highway 359 east of the City of Laredo. The initial feedback of the demonstration project was very positive. The local partners, including county governments and hospital districts, provided support for operating and conducting maintenance. El Cenizo has the specific locations where the CHUD-operated community centers also serve as headquarters for the home station and dispatching of the vans, which serve the needs of the residents [4].



d. Transportation for school children living in the El Cenizo is provided by the United Independent School District, a school district headquartered in Laredo. El Cenizo is zoned into Kennedy-Zapata Elementary School (Unincorporated Webb County), Salvador Garcia Middle School (Rio Bravo), and Lyndon B. Johnson High School (Laredo). Because most school buses are restricted to traveling only on paved roads due to safety issues, the students were forced to walk to the paved road bus stop in inclement weather. In 2001, the 77th Legislature passed Senate Bill 1296, which provided \$175 million in bond revenues to provide financial assistance to counties for roadway projects serving border colonias. Unpaved and deteriorated roads in many of the Texas' border colonia communities cause transportation and drainage problems.

The above transportation services can only pick up and drop off riders at designated bus stops outside or just at the entrance of El Cenizo (except for those meant for extreme medical emergencies). In addition, the schedule of fixed-route bus service is limited to the morning and afternoon peaks. Furthermore, residents have little resources and most of them cannot afford to buy and maintain private vehicles. As a consequence, most of them basically have no means of acceptable transportation.



## CHAPTER 2. OBJECTIVES AND METHODOLOGY

The objective of this research is to conduct a pilot study for the colonias around the area of Laredo and McAllen in TX. The aim is to collect data and assess the appropriateness and the feasibility of a potential future implementation of flexible transit solutions in these Colonias. El Cenizo, south west of Laredo, has been selected as a representative colonia for this study. The purpose is to understand the current basic travel needs and to conduct a simulation study for the design and possible implementation of a “feeder” demand responsive transit service within the colonia. The study has the ultimate goal to improve the quality of life of Colonias’ residents by enhancing their mobility and efficiently responding to their essential transportation needs. The result could be eventually used to incorporate an efficient transit system also in another area having similar geometry and demographics.

The primary data source used for this analysis is a travel survey conducted in the colonia of El Cenizo from April 1 to April 22, 2008 (see Appendix). Homes were randomly selected. Surveys were conducted by teams of TAMU Promotoras (outreach workers) who knocked on doors during various times of the day and conducted in person interviews. We collected 250 surveys for our analyses. The goal was to determine the travel demand distributions, location-wise, time-wise and also with respect to the demographics.

The derived distributions were then used to generate random demand for the simulation of the operations of a hypothetical feeder transit service. Simulated demand was in this way a very good representation of the real travel pattern in El Cenizo. Demand points (either pick-ups or drop-offs) were assigned to the closest point in the road network, as it would likely be in reality.

An ad-hoc assignment algorithm was used for this purpose. Shortest path distances between all pairs of points in the network were calculated with the Dijkstra's algorithm. Passengers were then assigned to be served by the vehicle by means of a scheduling algorithm, attempting to minimize the total distance traveled by the bus. Scheduling problems, such as the one we face in this research, are known combinatorial problems, which cannot be solved to optimality in a reasonable time when the number of demand points is large enough, because of the exponential explosion of the solution space including all feasible scheduling solutions. To solve these problems various approximation algorithms exist. We used one of the most popular ones: the insertion heuristic.

MATLAB which is a numerical computing environment and programming language created by The MathWorks is used for all our computational needs. There are various built-in features that allow "easy matrix manipulation, plotting of functions and data, implementation of algorithms, creation of user interfaces, and interfacing with programs in other languages" [5]. The Bioinformatics toolbox is used extensively in carrying out the simulation required in this project. The output of the simulation and analysis is represented through graphs and charts later in this report.

### CHAPTER 3. LITERATURE REVIEW

Several studies have focused on the transportation service in the colonias. The Burke et al. [3] analysis of the Texas Colonias Van Project concluded that unscheduled, non-routine trips are a persistent and enduring need of families and individuals in the isolated colonias. Although the van program increases the access of colonia residents to many kinds of services available at community resource centers established within colonias by the TAMU Colonias Program, there are obvious needs in some colonias that can be better served than by using only the 15-passenger van. Jasek and Kuhn [2] attempt to assess and document innovative, affordable, and cost-effective methods for meeting some of the unique transportation challenges facing residents of the colonias. Van service is a more effective form of transportation services than rural transit services because the former has the ability to provide a cost effective means of transporting people on either a scheduled or on-demand basis. However, van services still have only reached a limited number of people because it can only pick riders up at some fixed locations.

Barr et al (1995) [6] has provided reporting guidelines for computational experiments to test heuristic methods. Yepes et al (2006) [7] used three step local search algorithm for the vehicle routing problem with a heterogeneous fleet of vehicles and soft time windows.

Quadrioglio et. al. [8] developed an insertion heuristic for scheduling Mobility Allowance Shuttle Transit (MAST) services. In their work a MAST system is characterized by the flexibility to allow vehicles to deviate from the fixed path in order to serve customers within a service area. A set of simulations are performed. The results show that the insertion heuristic approach

developed could be used as an effective method to automate scheduling of MTA Line 646 in Los Angeles County and other services which have a similar demand need.

Quadrifoglio et al developed an analytical model which aids in decision-makers in designing a hybrid grid network integrating a flexible demand responsive service with a fixed route service [9]. Two cases one with a small service area and the other with a large metropolitan area are analyzed. For both cases the minimum of the Total Cost function with respect number of zones and number of buses per fixed route are found. It is concluded in the study that the total cost function is monotonically increasing with number of buses per fixed route.

Campbell et al [10] studied the impact of complicating constraints on the efficiency of insertion heuristics for the standard vehicle routing problem. The time complexity of  $O(n^3)$  is maintained compared to  $O(n^4)$  by involving a careful implementation of the insertion heuristic. The complicating constraints identified were significant as they affect the feasibility and the efficiency of the schedule. Thus, the constraints considered were the time windows, shift time limits, variable delivery quantities, fixed and variable delivery times, and multiple routes per vehicle.

Jaw et al [11] described a heuristic algorithm for a time constrained version of the many-to-many Dial-A-Ride Problem (DART). The algorithm described as the Advanced Dial-a-Ride Problem with Time Windows (ADARTW) with service quality constraints, identifies feasible insertions of customers into vehicle work-schedules. An incremental cost of each insertion is evaluated through an objective function. The cost is the weighted sum of disutility to the customers and of

the operating costs. The solutions provided by the ADARTW did not have any ‘optimal’ solutions to compare with and no exact algorithms existed to solve problems of similar size.

The Users' Manual for Assessing Service-Delivery Systems for Rural Passenger Transportation [12] provides case studies which represent a real attempt to tailor the service specifications to local conditions. The El Aguila is one of the cases to be mentioned, because of its efficiency due to concentrated housing.

Concerning the characteristics of work schedules, Sinha et al. [13] concluded that the ability to select certain occupations in specific industries is relative to the ability to select work schedules. Some jobs that need to start during off-peak hours might indirectly prevent employment of those who cannot reach the employment locations at that time. In order to facilitate access to jobs that do not coordinate with the existing transit service times, the Federal Transit Administration (FTA) initiated the Job Access and Reverse Commute (JARC) program especially to develop transportation service to connect welfare recipients and other low-income residents to jobs and other support programs around the country. Thakuriah et al. [14] have found that the overwhelming majority of riders in smaller metropolitan areas and rural areas indicate that JARC services were important to them. The following study explored the determinants of the importance of transit services funded by the JARC program [15]. It was shown that demand-responsive services are most likely to receive the highest ranking among all services. The commuting patterns of newly built towns are discussed intensively. Research has found that the proportion of people working or studying in urban area is proportional to the age of the new town [16]. Moreover, cross-district commuting to work is more prevalent than to school. Lockwood et al. [17] compared the weekend and weekday activity patterns in the San Francisco

Bay Area, California. This research found that the weekend travel patterns are quite different from weekday travel patterns in many aspects such as time of day, mode, and the total volume. McLeod (2006) used the concept of estimating bus passenger waiting times from incomplete bus arrivals data [18]. Mishalani et al (2006) [19] evaluated the impact of real-time bus arrival information using the passenger wait time perceptions at bus stops. Chien et al (2000) [20] developed a CORSIM-based microscopic simulation model which could simulate bus operations on transit routes.

Lipmann et al. (2002) [21] and Hauptmeier et al. (2000) [22] evaluated the performance of DRT systems taking traffic conditions into account. Feuerstein and Stougie, 2001 [23] and Bailey and Clark, 1987 [24] have investigated changes of performance using different number of vehicles for the dial-a-ride system. Simulation was used to compare different heuristics in assessing the effect of the service area and quality along with the demand density for a different fleet size in the work by Wilson et al. (1970) [25]. Haghani and Banihashemi (2002) [26] studied the relationship between efficiency of vehicles and town size.

There have been various studies regarding the comfort and the convenience of the passengers using a transit service. Researchers such as Todd Litman, Victoria Transport Policy Institute have studied the impact of the inconvenience and discomfort [27].

Quiroga et al (1998) performed the travel time studies by using global positioning system (GPS) and geographic information system (GIS) technologies [28].

The travel demand analysis of this project will focus on both weekday and weekend activity-travel patterns, while studying the effects of travel on peak period traffic for work and school trips. However, the frequency of health related and grocery supply activities are analyzed



over a longer period because of the trip characteristics. Some of the factors affecting the travel time costs have been listed in Table 1 [27]. The elements considered in this analysis are the waiting time arising due to time taken by the bus in reaching the request point and the riding time spent before being dropped off at the destination

Table 1: Factors Affecting Travel Time Costs [27]

<b>Factor</b>	<b>Description</b>	<b>Transit Evaluation Implications</b>
Waiting	Waiting time is usually valued higher than in-vehicle travel time	Transit travel usually requires more waiting, often along busy roads, with little protection.
Walking links	Time spent walking to vehicles is usually valued higher than in-vehicle travel time.	Transit travel usually requires more walking for access.
Transfers	Transfers impose a time cost penalty.	Transit travel often requires transfers.
Trip duration	Unit costs tend to increase for trips that exceed about 40 minutes.	Transit travel tends to require more time than automobile travel for a given distance.
Unreliability (travel time variance)	Unreliability, particularly unexpected delays, increase travel time costs.	Varies. Transit is often less reliable, except where given priority in traffic.
Waiting and vehicle environments	Uncomfortable conditions (crowded, dirty, insecure, cold, etc.) increase costs.	Transit travel is often perceived as providing little user control.
Cognitive effort (need to pay attention)	More cognitive effort increases travel time costs.	Varies. Driving generally requires more effort, particularly in congestion.
Variability	Transit travel conditions are extremely variable, depending on the quality of walking, waiting, and vehicle conditions.	Transit benefit analysis is very sensitive to qualitative factors that currently tend to be overlooked and undervalued.
Captive vs. discretionary travelers	Some transit users are captive and so relatively insensitive to convenience and comfort, but discretionary travelers tend to be very sensitive to these factors.	Achieving automobile-to-transit mode shifts requires comprehensive analysis to identify service quality factors that attract discretionary travelers.

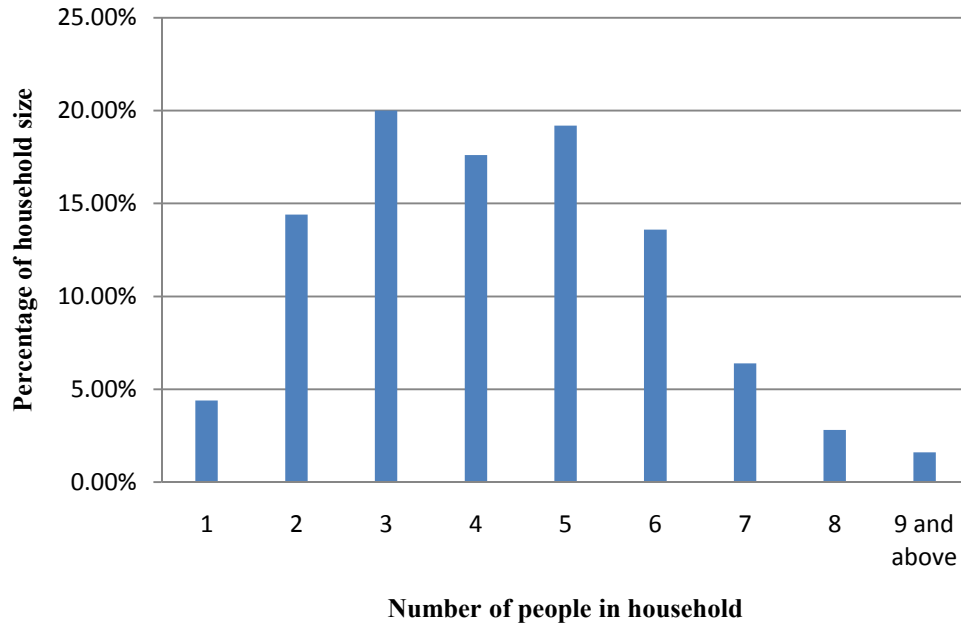


## CHAPTER 4. TRAVEL DEMAND PATTERN CHARACTERISTICS

To capture a more precise travel demand pattern for the residents of El Cenizo a questionnaire was designed. The questionnaire, distributed to the residents through a survey, has three major parts. The first part is basic demographic data of each household. The second part is the evaluation of travel demand patterns. The third part sought to understand the community members' likelihood of transfer from the existing transport mode to the new shuttle transit service and the characteristics that community member would value in the new shuttle service. This new system can pick them up at home and take them to any location in the surrounding area. Thus, the survey has primarily three components,

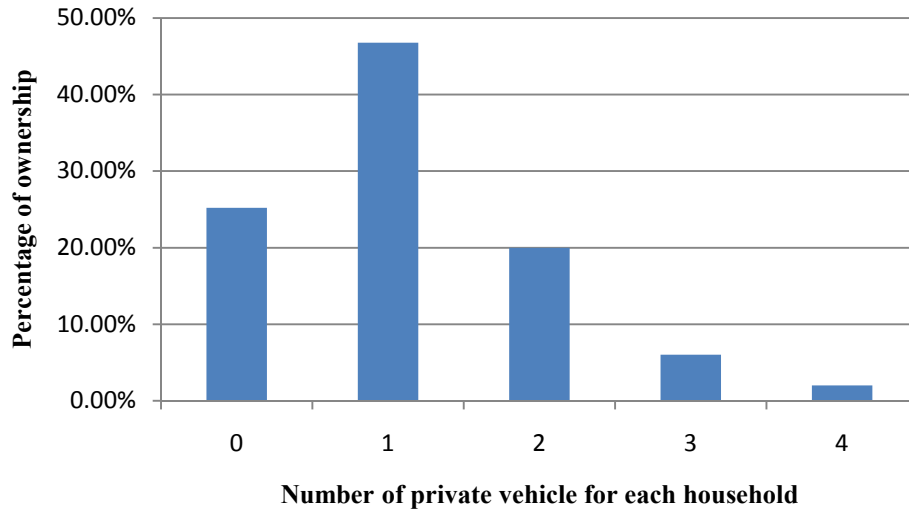
1. Questionnaire design/development and administration of the survey,
2. Compare travel demand and trip mode against different travel purposes, and
3. Analyze the likelihood and characteristics of the new shuttle service.

The survey data results were compiled and reported. The average household size in El Cenizo is 4.25 persons; figure 2 shows the percentage of each household size. Nearly 60% of the respondents' household size is between 3 to 5 people as compared to the average household size of only 2.5 for all the United States. This implies that the travel demand volume in El Cenizo would be larger than the national average.



**FIGURE 2: Distribution of household size**

Figure 3 shows that 25% of the households do not own any private vehicles and nearly half (47%) of the households have only one private vehicle. On an average, there are 1.13 private vehicles per household. Here the private vehicles include cars, vans or trucks. Compared to the average size of each household, data show that there are a large number of households that do not have a vehicle available for daily use other than work.



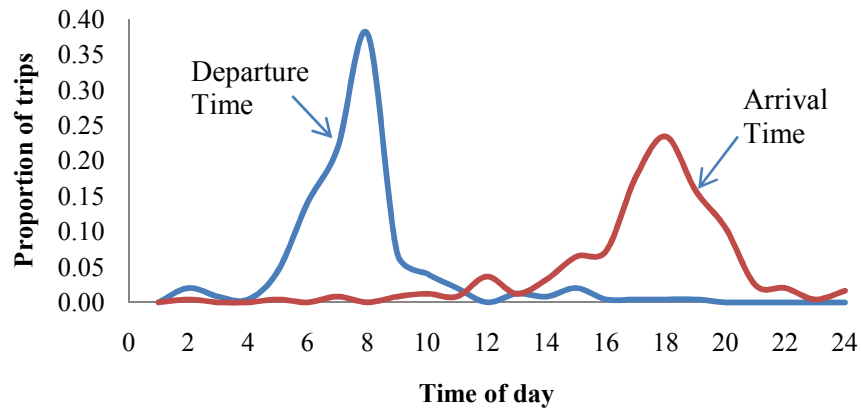
**FIGURE 3: Distribution of private vehicle ownership**

#### 4.1 EXISTING TRAVEL CHARACTERISTICS

This section of the report compares weekday travel characteristics for different purposes. First, the time-wise distribution of work, school, health, and groceries trips are studied. In addition, the mode of travel for different trip purposes and the destination of travel are also analyzed. These analyses contribute to the development of the travel demand pattern of the residents in El Cenizo.

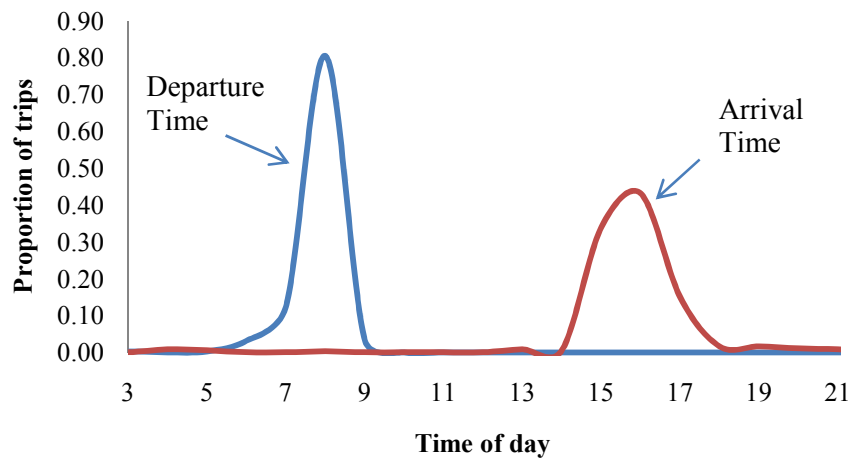
##### 4.1.1 Travel Time Distribution

For the work related trips, the majority of the householders left home during morning peak hours. Around 74% of the work trips originated between 6 and 8 AM. On the other hand, around 67% of the trips coming back from work are between 5 and 8 PM. The time distribution of work trips are shown in figure 4.



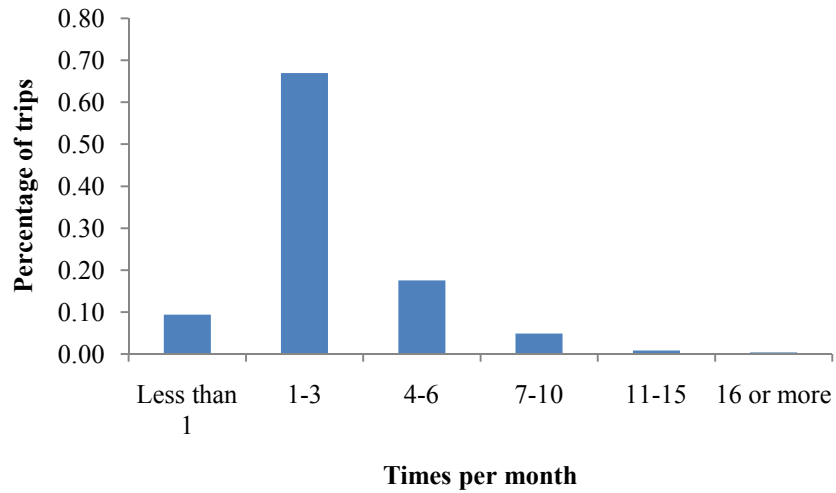
**FIGURE 4: Time distribution of work trip for departure and arrival times.**

Concerning school trips, almost all school age children (99%) leave between 6 and 9 AM. On the other hand, around 92% return between 3 and 5 PM. In comparison to the work trips, both the departure and the arrival times are more in line with the morning and afternoon peaks. This is because school time is more uniform and consistent than the work time. The time distribution of the school trips is shown in figure 5.



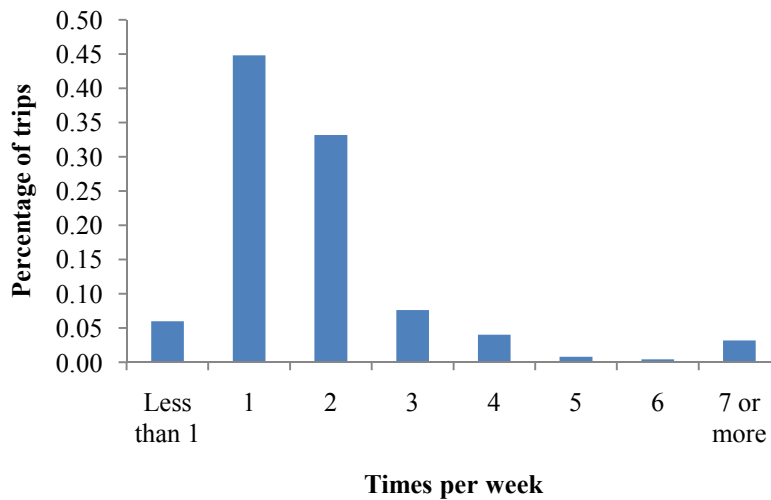
**FIGURE 5 : Time distribution of school trip for departure and arrival times.**

Besides work and school trips, health and grocery related trips are also evaluated. For the health related trip (Figure 6), around 9% of respondents have less than 1 trip per month and almost 67% of respondents expressed that they travel 1 to 3 times per month for health related reasons.



**FIGURE 6: Time distribution of health trip for departure and arrival times.**

For grocery trips (Figure 7), nearly half of the respondents (45%) reported that they go once a week. Another 33% of the respondents indicated that they make grocery trips twice a week. About 16% of the respondents indicated that they perform at least 3 groceries trips per week.



**FIGURE 7: Time distribution of groceries trip for departure and arrival times.**

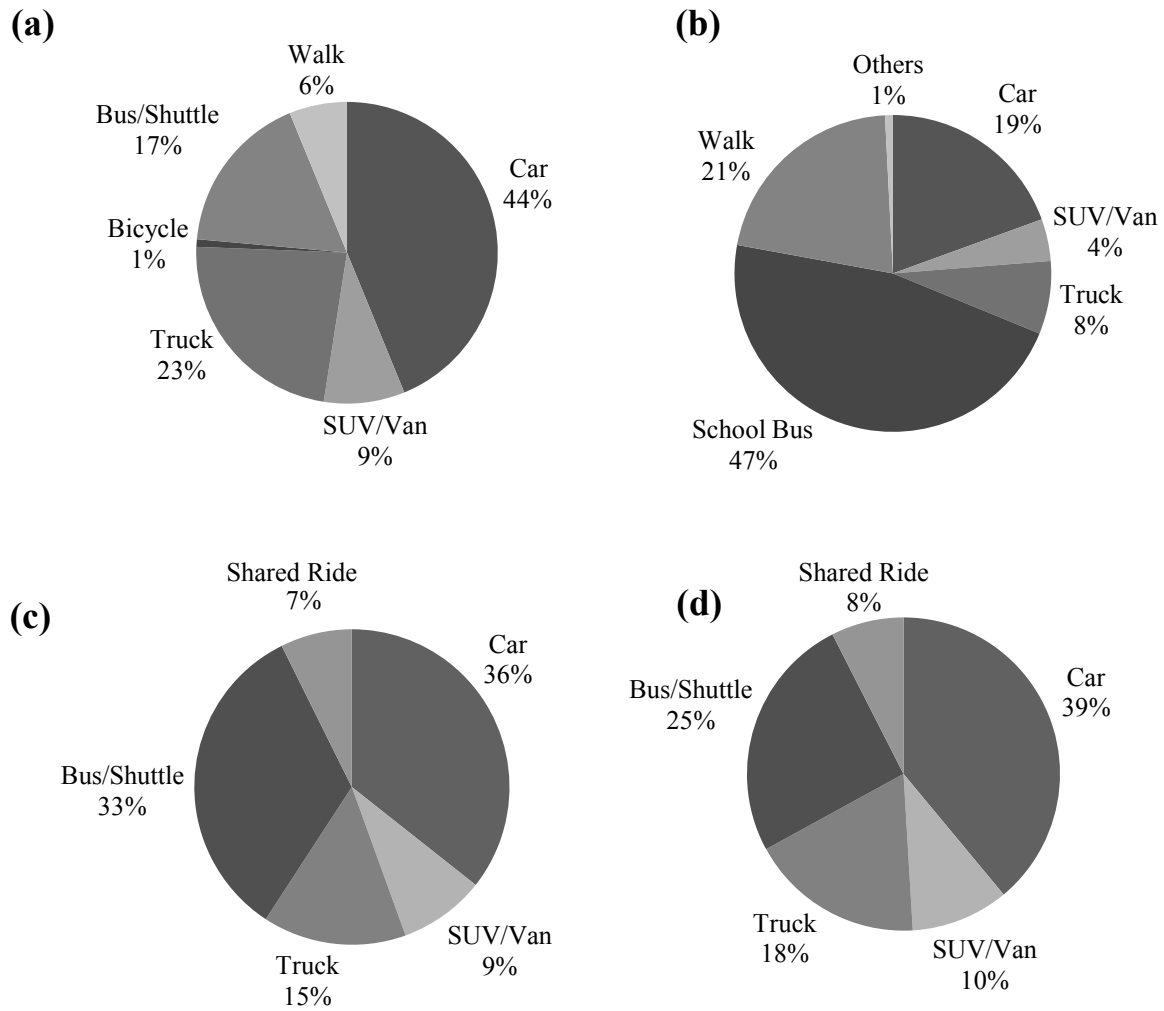
#### 4.1.2 Mode of Travel by Trip Purpose

The charts in figure 8 present the percentage of trips undertaken by each of the travel modes. The results are presented for each trip purpose. A look into the percentage of private vehicle by trip purpose reveals that trips to work, school, health, and groceries are most likely done by using a car. For the health related and grocery trips, some respondents (7.35% and 7.49%, respectively) indicated that they carpooled.

Next, the proportion of the transit mode is evaluated. Overall, not including school trips, the proportion of transit is highest for the health related issues, whereas it is lowest for the work trip purpose. For school trips, nearly half of them (46%) use the school buses. This should be attributed to the provision of school bus systems, which is provided by the UISC, as mentioned before.



Finally, the proportion of the non-motorized modes (like bicycles, walking etc.) is examined. The shares of these two modes are only shown for work and school trips. There's a higher proportion of respondents walking to school than to work. Moreover, the proportion of bicycle trips is more than one-fifth (21.3%) of all school trips.



**FIGURE 8: Mode for different trips: a) work trip, b) school trip, c) health trip, and d) groceries trip**

#### 4.1.3 Destination of Travel by Trip Purpose

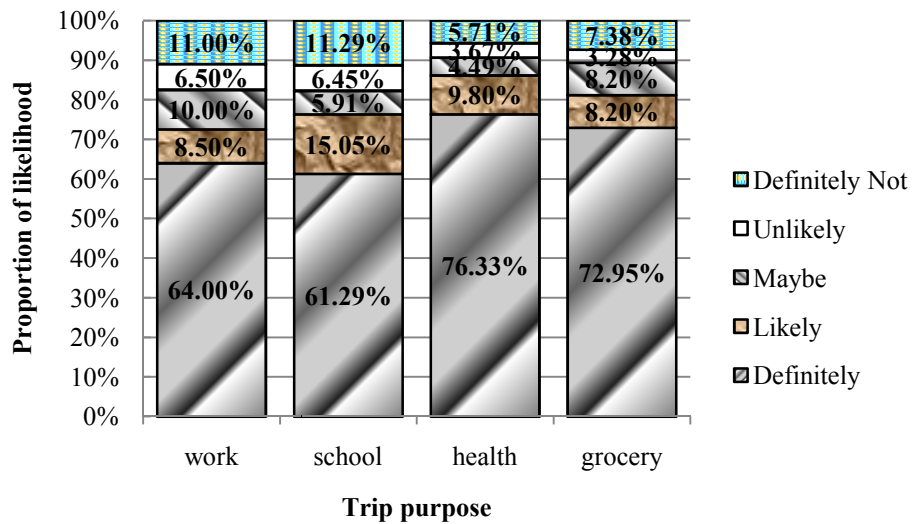
The travel destinations are mainly dependent on the place where people can fulfill their needs. For work trips, the majority of them (74%) head towards Laredo City. This is also the case for health related and groceries trips. The reason is likely that there are few work opportunities in El Cenizo and hospital or groceries stores are also located far outside of El Cenizo. If there is a grocery store located in town, it is insufficient. The Gateway Community Health Center near Laredo is one of the most visited places for health issues. For groceries, HEB and Wal-Mart are major destinations. The only exception is school; the elementary and middle schools are located in the adjacent colonia, Rio Bravo. The walking distance ranges from 2 to 3.5 miles. For higher education institutes other than elementary and middle schools, almost all of them are located in Laredo City.

## CHAPTER 5. SIMULATION AND ANALYSIS

### 5.1 PROPOSED DEMAND RESPONSIVE TRANSIT SERVICE:

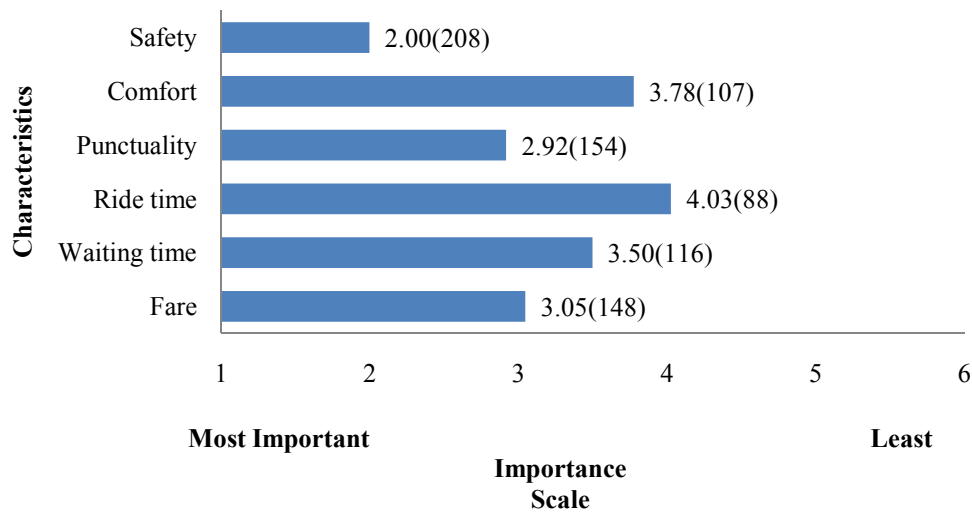
Demand-Response Transit (DRT) Service could be defined as being comprised of vehicles operating in response to calls from passengers to the transit operator for requesting a ride. The transit operators then dispatch a vehicle to pick up the passengers and transport them to their destinations. A demand response operation primarily consists of two elements. Vehicles do not operate on a fixed route or on a fixed schedule and they are dispatched to pick up and drop off several passengers at different locations. It is a shared ride door-to-door (or door-to-terminal) service. It must be noted that DRT is particularly suitable for low-density population areas or low travel demand periods. The proposed DRT in this report is a response to assess the level of willingness and the rank of service characteristics for using a new DRT kind of shuttle service by the community.

For this purpose, two questions were asked in the last part of the questionnaire related to the likelihood of using the new service and the importance of some characteristics of the new service. The questionnaire assumed that the new shuttle service would pick up and drop off customers door to door without using other modes of transportation. The overall likelihood of switching from the current mode of transport to the new shuttle service is on an ordinal scale from “Definitely” to “Definitely Not.” A comparison by trip purposes is given in figure 9. It shows that more than 75% of these respondents are willing, at least likely, to use the new shuttle service for all trip purposes. It can be seen that respondents would use the new shuttle service mostly for health related and grocery activities.



**FIGURE 9: Likelihood to use the new shuttle service**

The chart in figure 10 shows the mean ranking of six characteristics of the new shuttle service. The chart includes the mean ranking on a scale from 1 to 6, where 1 means most important and 6 means least important. The numbers in parenthesis indicate the higher ranks, considering only from rank 1 to rank 3, for each characteristic. It can be seen that safety is the most important characteristic desired. Next, punctuality and fare are also more important to respondents than are waiting time, comfort, and ride time.



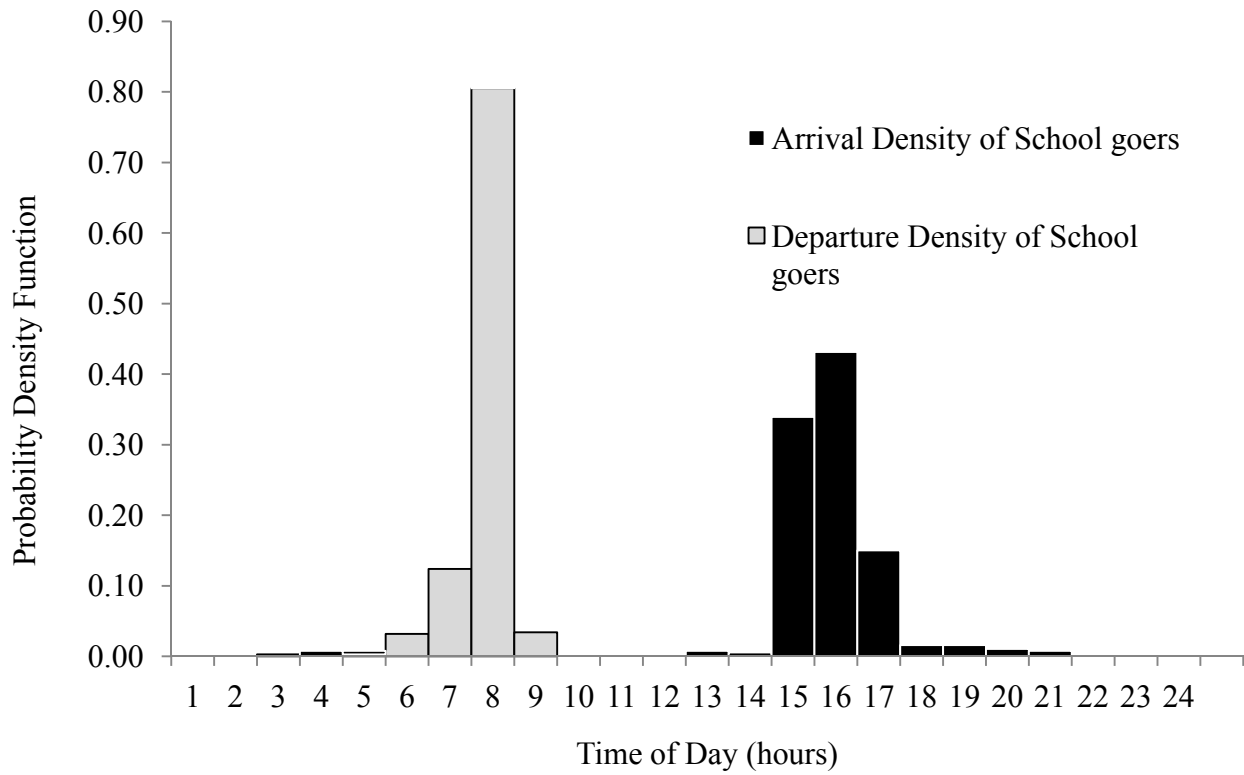
**FIGURE 10: Mean ranking for characteristics of new shuttle service**

Of all the factors the respondents considered important, the waiting time and the riding time have been selected for simulation and analysis in this research report. These two factors are easy to model and reasonably accurate results could be obtained which could improve the popularity of the DRT.

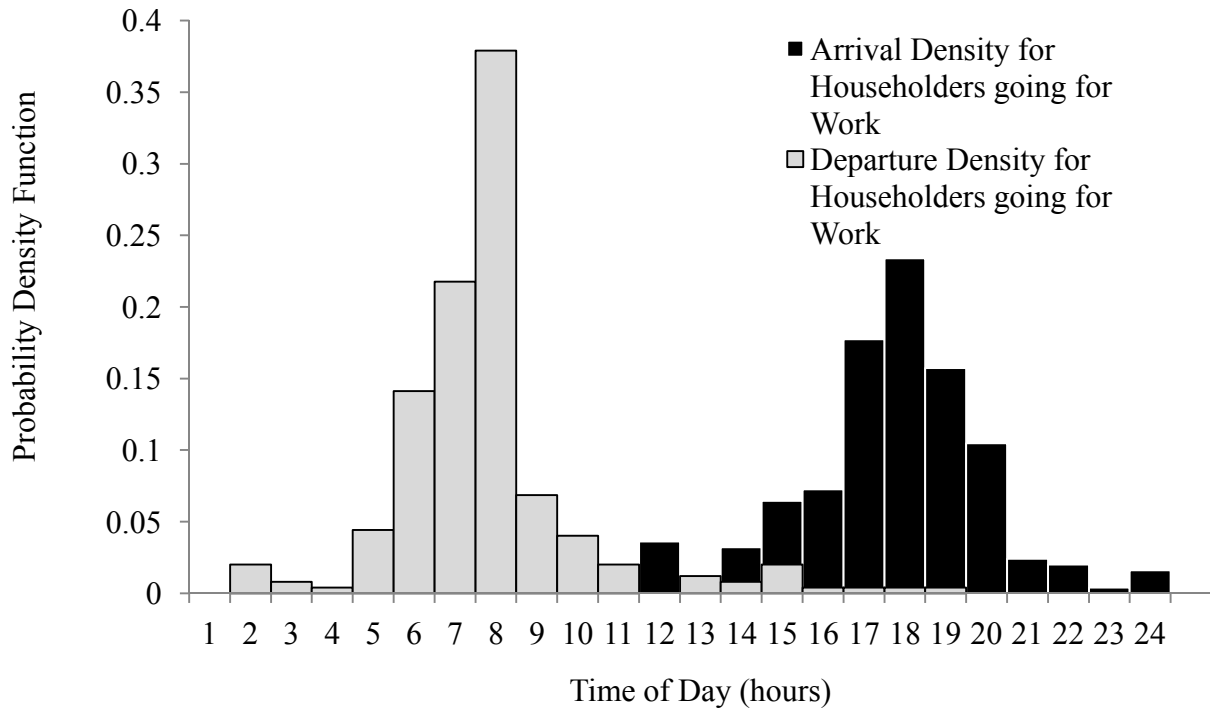
## 5.2 DEMAND MODELLING AND SAMPLING

The probability density function (pdf) for the arrival and departure times is plotted for each of the data sets obtained from the survey. According to the probability theory, a distribution is built to either identify the probability of each value of a random variable (when the variable is discrete) or to identify the probability of the random variable falling within a particular interval (when the variable is continuous). The time of departure or arrival can be considered as a continuous event as the request for using a transit service could be made at any point of time. The probability function is used to describe the range of possible values that a random variable can have. The survey data was used to plot the probability distribution function as shown in

figure 11 and figure 12. Figure 11 shows the pdf of the school goers and figure 12 shows the pdf for the residents going to work.



**FIGURE 11: Probability density function (pdf) for the school goers for arrival as well as for departure.**



**FIGURE 12: Probability density function (pdf) for the residents going to work for arrival as well as for departure.**

The cumulative density function (cdf) is the integral of its respective probability density function (pdf). The cdfs are usually well behaved functions with values in the range  $[0, 1]$  and are important in computing critical values, P-values and power of statistical tests. The cdfs for the householders going to work and the school goers are plotted in figure 13 and figure 14 respectively. The plots in figure 13 and figure 14 represent the cdfs corresponding to departure as well as the arrival time and are basically cdfs for the pdfs as illustrated in figure 11 and figure 12 before for the collected data.

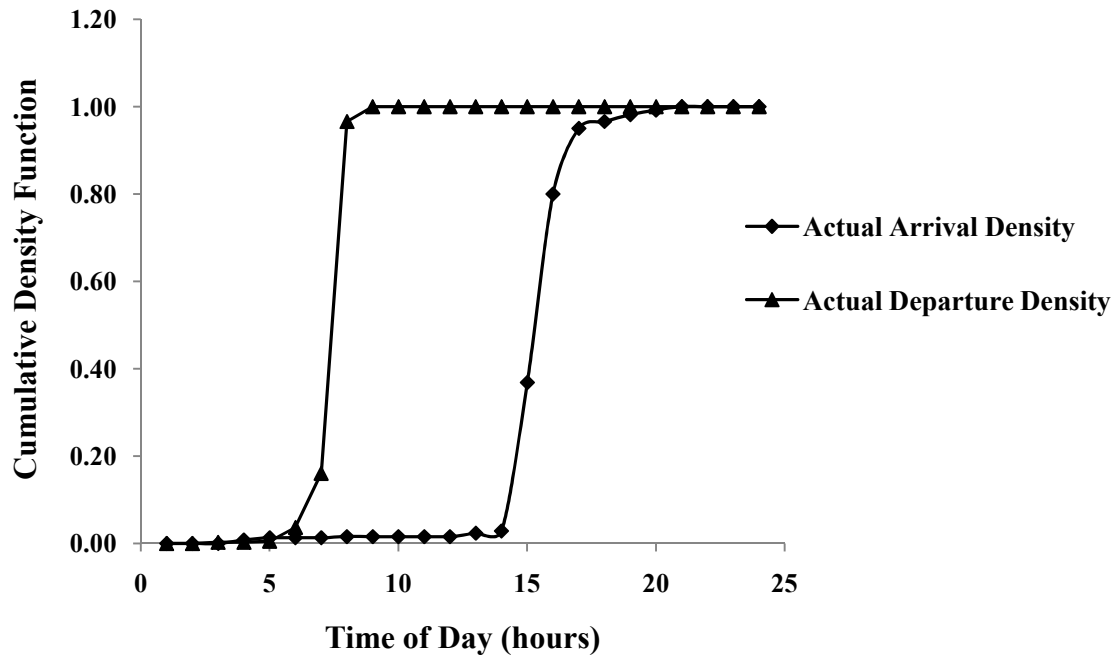


FIGURE 13: Actual cumulative density function (cdf) for the school goers for arrival as well as for departure.

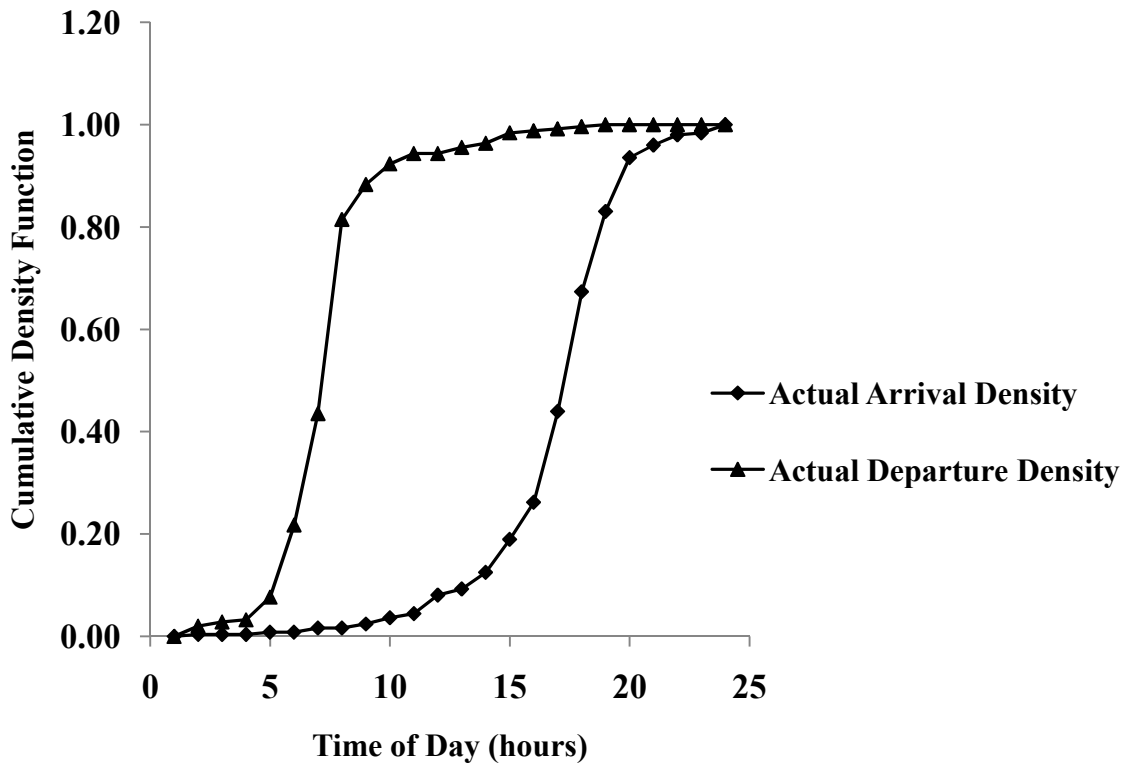
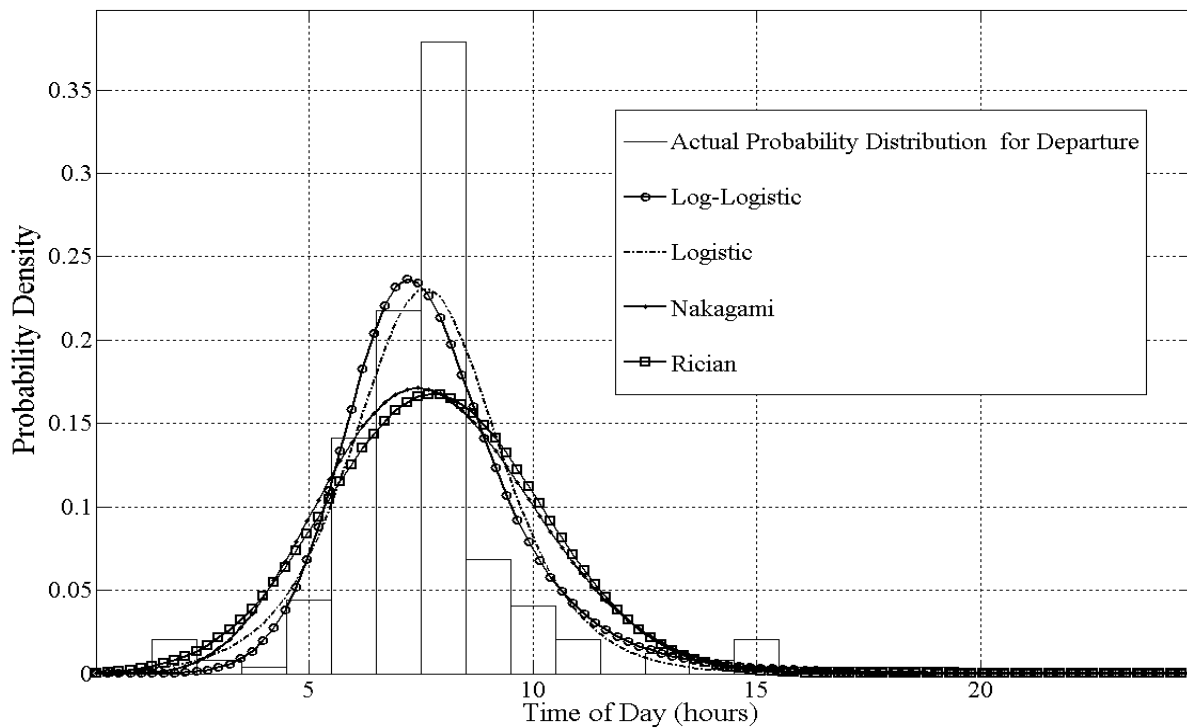


FIGURE 14: Actual cumulative density function (cdf) for the householders going to work for arrival as well as for departure.

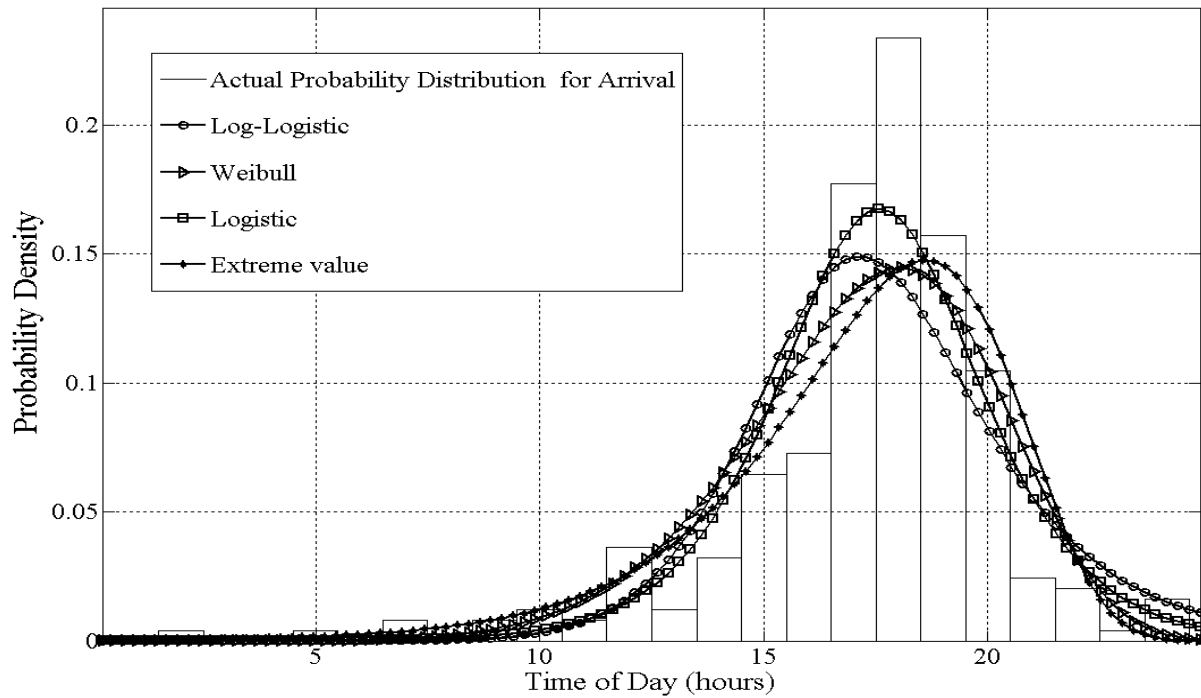


In the real world, choosing a model often means choosing a parametric family of probability density functions and then adjusting the parameters to fit the data. The choice of an appropriate distribution family is usually based on a priori knowledge, such as matching the mechanism of a data-producing process to the theoretical assumptions underlying a particular family, or it could be a posteriori knowledge, such as information provided by probability plots and distribution tests. Parameters can then be found that achieve the maximum likelihood of producing the data.

Several known probability distribution functions (pdf) were tested to fit the obtained demand data from the survey for the school goers and the residents going to work. The fit with a particular probability distribution function was compared to the data of the residents going to work. The distribution functions used for checking were Log-Logistic, Rician, Weibull, Lognormal, Extreme Value, Nakagami, and Logistic. These are some of the widely used probability distribution functions used for a given set of data and they also closely appeared to be overlapping with the observed probability distributions. Figure 15 shows some of the theoretical existing probability distribution for departure data compared to the actual obtained data for departure. Similarly figure 16 represents the theoretical probability distribution for arrival data compared to the actual obtained data.



**FIGURE 15: Plot of theoretical existing probability distributions with the given data for departure for householders going to work.**



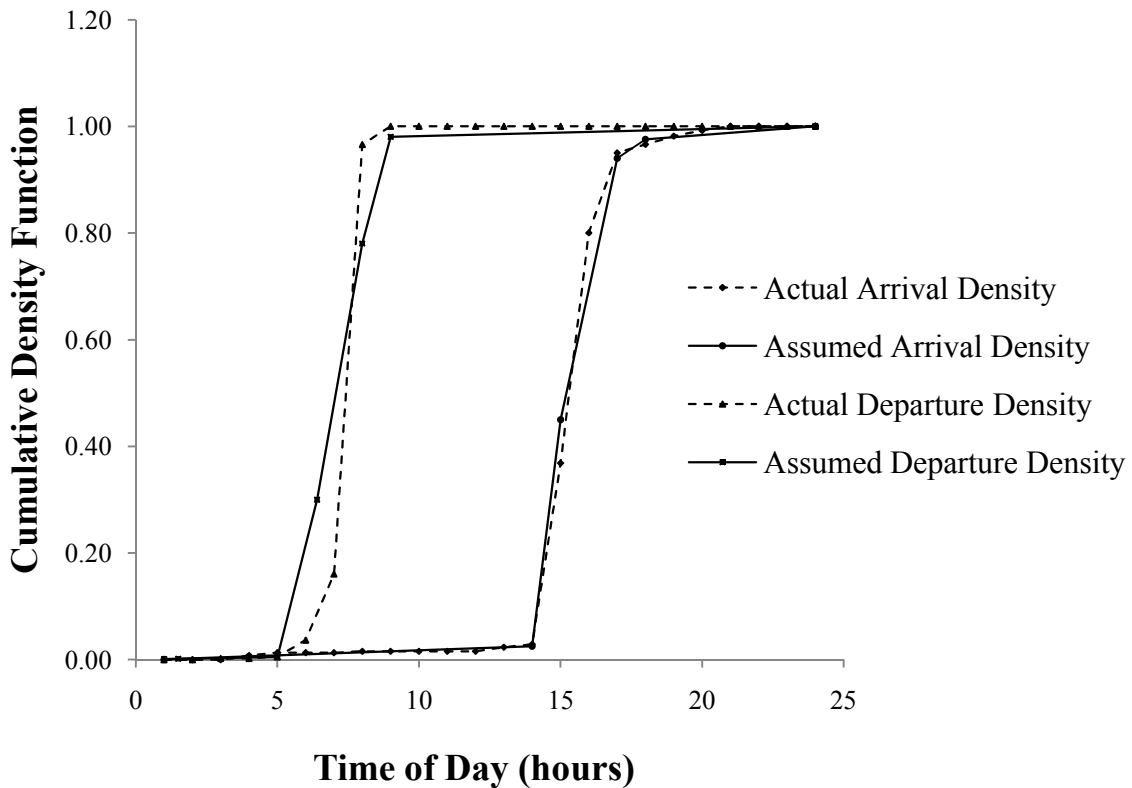
**FIGURE 16: Plot of theoretical existing probability distributions with the given data for arrival for householders coming back from work.**

The visual test for the available data with these distributions gave a negative result and no distribution was observed to fit the survey data with the theoretical ones. Furthermore, a statistical test was performed which showed that the selected distributions did not fit the available survey data. For this a hypothesis was assumed that the obtained survey data fit the chosen theoretical probability distributions mentioned earlier. The distribution data for work was selected first for the hypothesis. This was performed for each of the theoretical probability distributions, departure as well as the arrival.

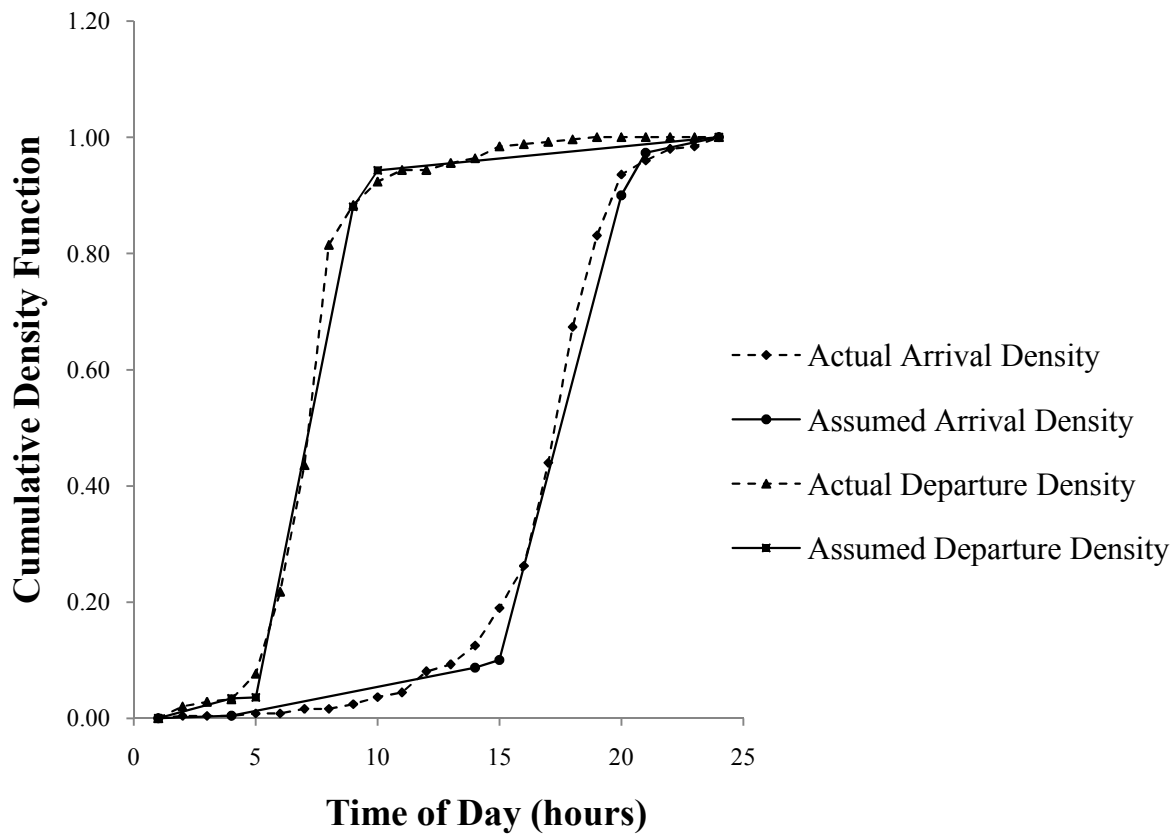
The outcome of the Chi-Square test for a 95% confidence level resulted in the rejection of the hypothesis for each of the theoretical probability distributions selected for testing. For the arrival data, the one chosen for the Chi-Square tests were the Weibull, Logistic, Log-Logistic and the Extreme value probability distributions. The Chi-Square calculated using each of the selected distributions for a 95% confidence level was found to be 42.1, 46.1, 75.1 and 65.8 respectively. These values were much larger than the Chi-Square value obtained from the tables which was 12.6. For the departure data, the one chosen for the Chi-Square tests are Log-Logistic and Logistic as only two of these resemble closely the departure probability distributions. The Chi-Square values calculated were 72.4 and 59.4 respectively for each of the distributions and it was found that these values were much larger than the Chi-Square value obtained from the tables which was 12.6. Thus, the failure of the available survey data to fit any theoretical distribution encouraged us to use a manual approach to obtain a suitable distribution for the survey data.

The manual approach simplified the analysis as in it did not require any parameters to be estimated. The manual sketch for defining a distribution was performed on the cumulative density functions shown previously in figure 13 and figure 14. A new set of assumed cumulative

density curves are marked for the school goers and the residents going to work. The assumed cumulative density function thus obtained through piecewise linearization is shown in figure 17 and figure 18 along with the actual existing cumulative density functions.



**FIGURE 17: Actual and assumed linearly varying cumulative density function (cdf) for the school goers for arrival as well as for departure.**



**FIGURE 18: Actual and assumed linearly varying cumulative density function (cdf) for the householders going to work for arrival as well as for departure.**

It must be noted that figure 17 and figure 18 consists of the cumulative distribution function for both the arrival as well as the departure time curves for school trips and work trips. The assumed cumulative distribution function (represented by solid lines) is linearly varying as obtained from figure 13 and figure 14. A hypothesis that there is a close resemblance of the linearly drawn sketch to the existing actual departure and arrival cdf is assumed. The Chi-Square test was performed to see if the hypothesis was correct and the results of the test indicated that the assumed hypothesis was correct. The analysis was carried further with this assumed variation of cumulative distribution function. The entire process was repeated for both the school trips as well as the work trips. The curves for assumed linearity in figure 17 and figure 18 are used to

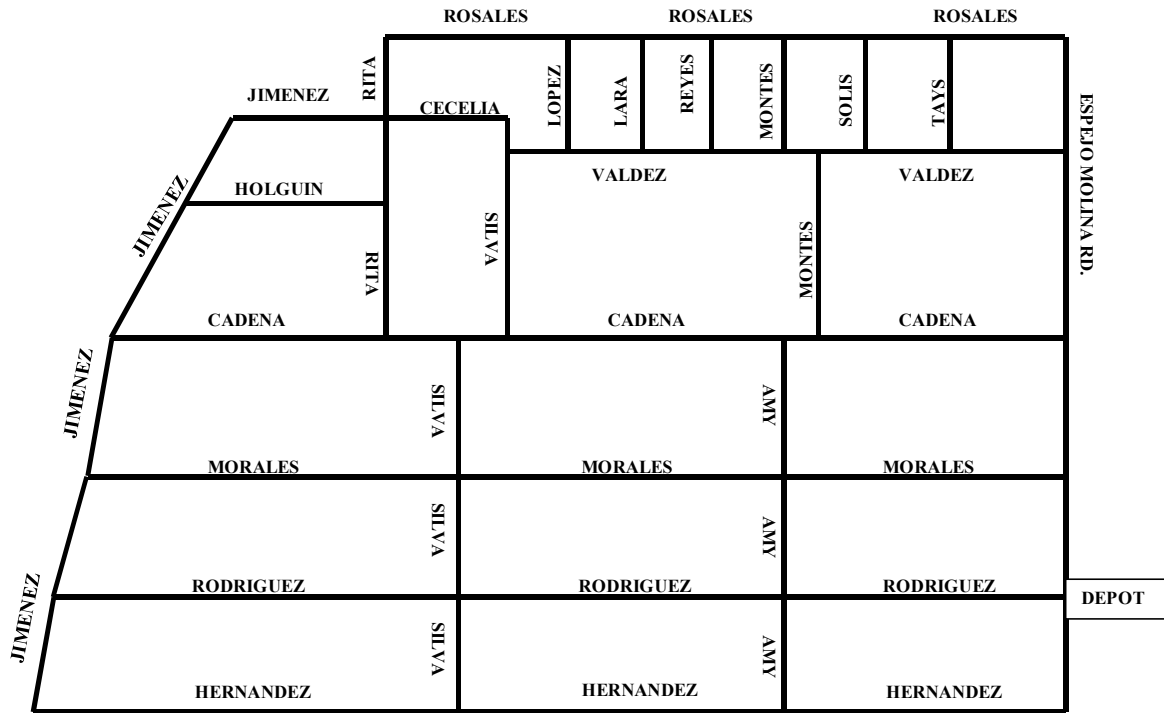
obtain the demand time distribution of the passengers by uniformly random generating numbers between 0 and 1. The generated numbers would produce time values from the  $x$  axis from the curves in the figures 17 and 18.

Besides school and work trips there are trips such as those for health related issues such as seeing a doctor or trips meant for groceries. These trip data for the passengers using the bus service are found out to be less in a 24- hour period time as compared to the school goers and the residents going to work. However, from the survey data it is seen that the timing of departure and arrival from their homes for these other trips closely resemble the timing of the arrival and departure for the school goers. Thus these trips are integrated with the school trips for simplicity. Or in other words the cumulative distribution function for the users for other trips such as seeing a doctor or visiting a grocery store share the same linear variation shown in assumed curves of figure 17.

The locations of the demand points are also generated along with their request times. The demand points pop up assuming that the population of El Cenizo area is spread uniformly. As the departure and arrival time for transit demand is recorded, the location of the passenger where he or she needs to be picked up and dropped off is recorded as well.

Throughout the simulation it is assumed that a customer is picked up and dropped off at the same place. This gives us the idea that the children going to school and the residents going to work leave and return at the same location. This process is carried out for the entire set of customers/passengers whether school goers or working residents. Consequently, we have a departure time, an arrival time and the location of individual customers as input for our simulation study. The area of El Cenizo is contained within a given set of coordinates which

cover the whole network of streets. Figure 19 shows the map of El Cenizo with the network of labeled streets.



**FIGURE 19: Straight line representations of streets of El Cenizo with a Depot.**

The existing network of streets in El Cenizo is represented using straight line equations along with the location of the depot are shown in figure 19. The depot point lies on the Espejo Monila Rd that connects to US-83 leading to Rio Bravo towards Laredo City where most of the commuters go using their respective modes of transport. And it is assumed that depot would be the most convenient point to reach in case a school or the workplace lies further away from the city. The depot location is thus a strategic one for the simulation to resemble the reality. The depot in our simulation is selected to be the starting point and the ending point of the transit bus. The depot would thus also be the destination for all the school and the work trips.

### 5.3. ASSIGNMENT OF REQUESTS

As discussed earlier, the distribution of demand points is assumed to be uniform and passengers may pop up anywhere on the map of El Cenizo. Once the time and location of each request is generated, we need to assign each request to the nearest point on the street network, where we assume the shuttle would stop for pick-up/drop-off. As the street network of El Cenizo resembles straight lines with several nodes where two or more street segments meet, a linear equation could easily describe each of them with a boundary on the starting and an ending point of the line represented by these nodes. This fact was utilized to simplify the assignment problem and perform a constrained non-linear optimization in locating the nearest point on the nearest street.

From the definitions in mathematics, a constrained optimization problem is the minimization of an objective function subject to constraints on the possible values of the independent variable.

The constraints can be either equality or inequality constraints. Thus, constrained minimization is the problem of finding a vector 'x' that is a local minimum to a scalar function  $h(x)$  subject to constraints on the allowable 'x' such that one or more of the following holds:

$$C(\mathbf{a}) \leq 0,$$

$$C_{eq}(\mathbf{a}) = 0,$$

$$\mathbf{X} \cdot \mathbf{a} \leq \mathbf{Y},$$

$$\mathbf{X}_{eq} \cdot \mathbf{a} = \mathbf{Y}_{eq},$$

$$\mathbf{L} \leq \mathbf{a} \leq \mathbf{U}.$$



where,  $\mathbf{a}$ ,  $\mathbf{Y}$ ,  $\mathbf{Y}_{eq}$ ,  $\mathbf{L}$ , and  $\mathbf{U}$  are vectors,  $\mathbf{X}$  and  $\mathbf{X}_{eq}$  are matrices,  $\mathbf{C}(\mathbf{a})$  and  $\mathbf{C}_{eq}(\mathbf{a})$  are functions that return vectors, and  $h(\mathbf{a})$  would be defined as a the objective function that returns a scalar. Also  $h(\mathbf{a})$ ,  $\mathbf{C}(\mathbf{a})$ , and  $\mathbf{C}_{eq}(\mathbf{a})$  can be nonlinear functions.

For simplicity in representing ‘a’ as coordinates we replace vector ‘a’ by vector ‘x’. Thus the objective function  $h(x)$  is the Euclidean distance between the household represented as a point to a point on a street and is non linear. The bound on ‘x’ is the starting and ending x-coordinate of that street. To perform the constrained minimization problem, a built in MATLAB function ‘fmincon’ is used. The function ‘fmincon’ attempts to find a constrained minimum of a scalar function of several variables starting at an initial estimate. This is generally referred to as constrained nonlinear optimization or nonlinear programming.

For the purpose of simulating the existing problem a simple methodology is adopted to project the points onto the streets that are represented by straight lines. The streets of El Cenizo are segmented into 62 different straight lines. The problem identified is similar to a bound constrained optimization with a restriction of the x-coordinates or the y-coordinates. The formulation of the problem is shown below. The distance  $h(x)$  from a point in a plane to any given straight line in the same plane is written as,

$$h(x) = \sqrt{(X-x)^2 + (Y-y)^2} \quad (1)$$

Where  $(X,Y)$  is a point in a plane and the point  $(x,y)$  is on a given straight line in the same plane. The point  $(x,y)$  satisfies  $y = mx + c$  , where ‘m’ is the slope of the straight line and ‘c’ is its intercept with the y-axis.

Since the upper and lower bounds of all the straight lines are known parameters ‘m’ and ‘c’ could be simply written as,

$$m = \left( \frac{Uy - Ly}{Ux - Lx} \right) x ; \quad (2)$$

$$c = (LyUx - LxUy) ; \quad (3)$$

where,

$Lx$  is the lower bound on the x coordinate of the straight line,

$Ly$  is the lower bound on the y coordinate of the straight line,

$Ux$  is the upper bound on the x coordinate of the straight line,

$Uy$  is the upper bound on the y coordinate of the straight line.

The objective function is a minimization of the function  $h(x)$  in equation (1) above for the constraints given as

$$Lx \leq x \leq Ux ; \quad (4)$$

$$Ly \leq y \leq Uy ; \quad (5)$$

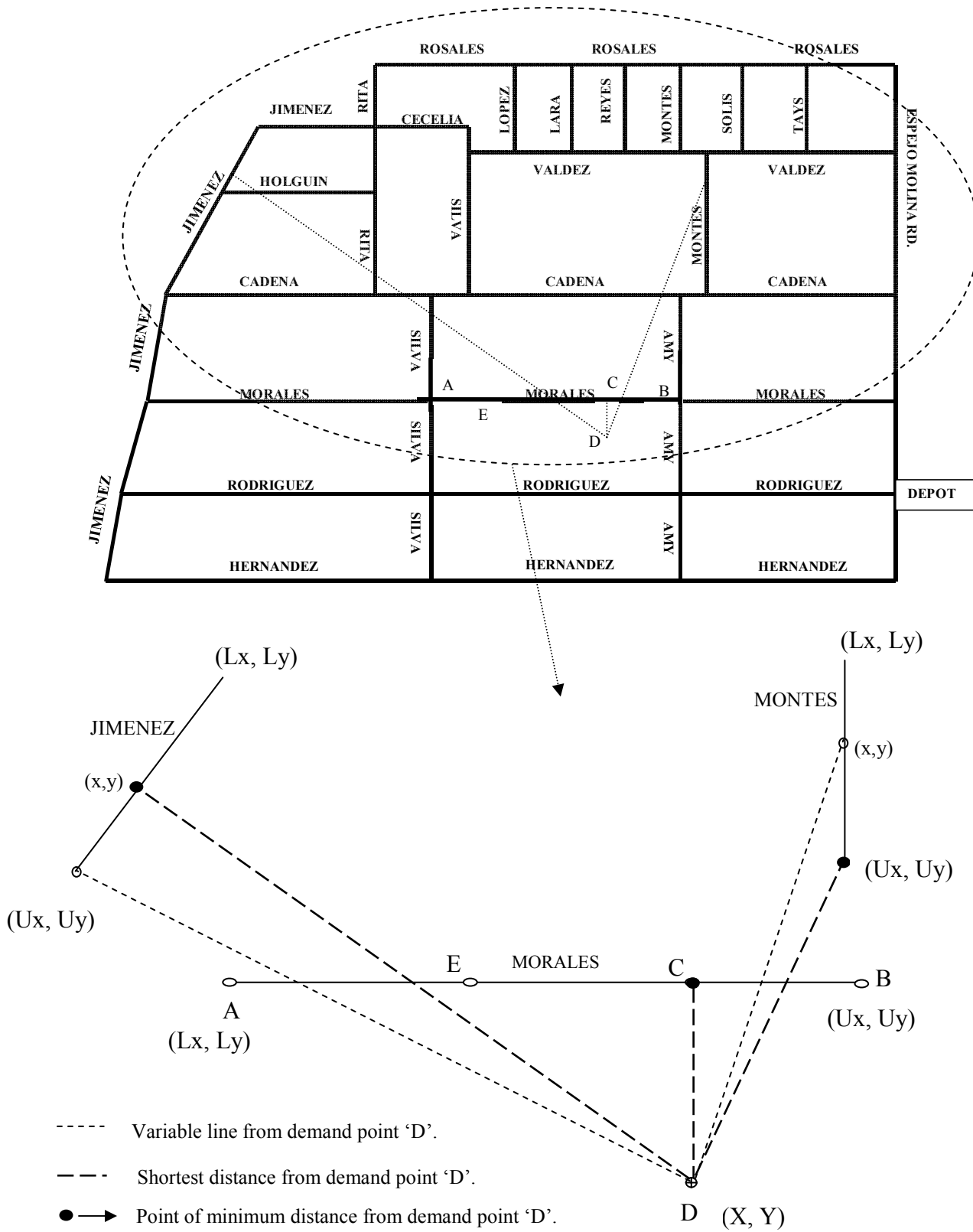
$$y = \left( \frac{Uy - Ly}{Ux - Lx} \right) x + (LyUx - LxUy) ; \quad (6)$$

where ,

$$y = \left( \frac{Uy - Ly}{Ux - Lx} \right) x + (LyUx - LxUy) \text{ is the equation of the straight line.}$$

It is to be noted that for simulation purposes only the streets having a designated name have been used. This also makes sense as there is no way the customer demand can be made if the street name is not known. This also means that those streets which are very narrow for bus to run comfortably are not included in the analysis or the simulation. This also requires an assigned width of the streets and for the simulation a uniform width of ten feet has been assumed. The width of the street chosen is also justified as most of the streets have a width of ten feet or close to ten feet. A width of ten feet is also considered to be suitable for the bus or any other vehicle meant for transit to run easily on the streets.

A MATLAB m-file is created to arrive at the solution of finding the minimum distance from the nearest point on a line to an individual demand point using the constraints. A series of searches are performed to arrive at the location of a line that gives the minimum Euclidean distance from the demand point to the x or the y coordinate on the line (refer figure 20). The Euclidean distance is computed by the method of constrained optimization for each of the individual lines. An arbitrary point  $(x,y)$  is assigned to define a distance from the demand point to a street selected one-at-time. For example, in figure 20 for the street of Jimenez a point  $(x,y)$  is chosen on the street. Once the x or the y coordinate is selected the respective y and the x coordinates are obtained by using the equation of the street which is represented as a straight line. There is a linear relationship between the x and y values for any particular street. The bound on the street of Jimenez is shown using the coordinates  $(L_x,L_y)$  and  $(U_x,U_y)$ . Thus this is also a bound on the coordinate  $(x,y)$ .



**FIGURE 20: Point D is the location of the request for pick up or drop off on AB and points C is the projected point on the line or street of Morales with E being another projected point.**

A point on the street of Jimenez (represented by a black dot) is obtained by utilizing the principles of constrained optimization, which gives the Euclidean distance from the demand point to the Jimenez Street. Similarly there are points identified on the Montes Street and Morales Street (again represented by a solid black dot) that give the minimum distance from the demand point 'D' to the streets. The obtained minimum distance and the coordinates on the street that give the minimum distance from the demand point are recorded and compiled in the form of an array or list. Once the entire set of minimum distances and the coordinates corresponding to these distances are included in the array, the street that gives the minimum of minimum distances is selected along with the corresponding coordinate. Thus in this manner a projected point is identified on a street corresponding to a demand point 'D'.

In essence, once a customer wants to use the bus service, he travels or walks from his designated position (say, from his house or a shop) to the nearest possible point on the street.

### 5.3.1 Appending Sets of New Nodes

As discussed in the previous section a projected demand point now exists on the nearest street with a lower and an upper bound. Now the next demand point that pops up could also be projected on the same street as the previous one. Thus there could be several situations where a given street has two or more projected demand points on it. These projected nodes are defined by a number and represented in the form of an array or list with every node having a well defined neighbor. This helps in creating another array of distances between one node and the other. It must be noted that creation of these arrays simplifies the procedure for finding the shortest path from one projected point to another. This is done by the use of Dijkstra's algorithm which

requires the node-to-node distance as input. The Dijkstra's algorithm finds the shortest paths from the start vertex to all vertices in the network having several nodes.

Dijkstra's algorithm can be expressed formally as follows [29].

*Dijkstra Algorithm (graph G, vertex v0)*

{

$S = \{v_0\}$

*For i = 1 to n*

$DT[i] = C[v_0, i]$

*For i = 1 to n-1*

*Choose a vertex w in V-S such that DT[w] is minimum*

*Add w to S*

*For each vertex v in V-S*

$DT[v] = \min(DT[v], DT[w] + C[w, v])$

}

where,

G - arbitrary connected graph

v0 - is the initial beginning vertex

V - is the set of all vertices in the graph G

S - set of all vertices with permanent labels

n - number of vertices in G

DT - set of distances to v0

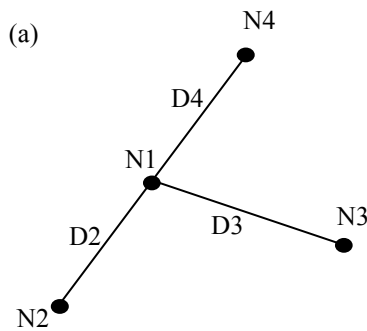
C - set of edges in G

Thus in figure 20 the existing nodes A and B of the Morales Street of El Cenizo are appended by the projected demand point C for D on the street. This also means that the earlier existing direct link between the two extreme points of the line (for example line AB) was replaced temporarily to create two sets of lines formed by the projected demand point with its neighbors 'A' and 'B'. The new straight lines created are the lines AC and CB with CD being the shortest distance from demand point D to the line AB. As more and more projected demand points are formed they might be projected on the same straight line. Thus a street can have more than one demand point to serve.

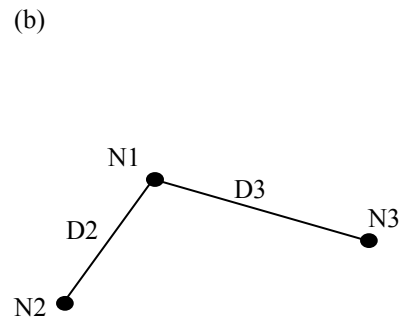
The entire procedure is repeated for all the sets of the demand points that the transit agency might have. These demand points are located on the streets with a (x, y) coordinates. To successfully implement the simulation strategy three arrays are needed. In the simulation, an attempt is made to reduce time complexity when implanting the shortest path algorithms over the network of streets and nodes. Thus, the use of sparse matrix becomes convenient. In mathematics sparse matrices are used to couple two elements together. In our simulation these elements are the nodes created by the projected demand points on the streets. However for defining node-to-node distances in our simulation we need just a single sparse matrix. This is ensured by creating three different arrays which serve as inputs to creating a general matrix defined by 'G'.

The sparse matrix defined by 'S' could be created by using the general matrix. The first array consists of the node identities in sequence that uniquely identifies each and every node with a (x, y) coordinate. The node elements in this array would be repeated as many times as the number of

neighbors it has. For example, as illustrated in figure 21 below, a node having three neighbors could be repeated three times in the first array. Or if it has two neighbors then it's repeated twice. The second array then consists of the neighbors of the nodes in the first array. The third array has elements as distances between the corresponding nodes in the first and the second array. In figure 22 below, N1, N2 and N3 are nodes and D1, D2 and D3 are the direct distances between the nodes.



First array elements: [... N1 N1 N1...]  
 Second array elements: [... N2 N3 N4...]



First array elements: [... N1 N1...]  
 Second array elements: [... N2 N3...]

**FIGURE 21: Array representation for creating the sparse matrix**

These inputs as three arrays create a sparse matrix using the elements in the first array as its columns and the second array elements are used as the columns. The elements of the sparse matrix are the elements of the third array. For example, corresponding to the arrays in figure 22 (a), we have a general matrix 'G' as illustrated below,



Columns    ..... N1 N2 N3 N4 .....

General matrix,  $G =$

Rows	{	:	:	:	:	:	
:		:	:	:	:	:	
:		:	:	:	:	:	
:		:	:	:	:	:	
N1		.....	:	D2	D3	D4	.....
:		:	:	:	:	:	:
N2		.....	:	D2	.....	.....	.....
:		:	:	:	:	:	:
N3		.....	:	D3	.....	.....	.....
:		:	:	:	:	:	:
N4		.....	:	D4	.....	.....	.....
:		:	:	:	:	:	:
:		:	:	:	:	:	:
:		:	:	:	:	:	:
:		:	:	:	:	:	:

The sparse matrix form 'S' of the above general matrix 'M' would yield

S =

- (N2, N1) = D2
- (N3, N1) = D3
- (N4, N1) = D4
- (N1, N2) = D2
- (N1, N3) = D3
- (N1, N4) = D4

The elements of the sparse matrix are sorted by columns, reflecting the internal data structure. This principle of creating a sparse matrix is applied in our simulation as well. We have a master sparse matrix which remains unchanged throughout the simulation. This master sparse matrix consists of the node-to-node information of the original set of street networks of El Cenizo. This information is essentially the node identity number and the distance between each of the nodes neighbors. The new nodes which are created by the projected demand points are appended to the existing master sparse matrix. At the end of every simulation the master sparse matrix is set to its original state for use in the next simulation.

## 5.4 TRIP SCHEDULING

The street networks of El Cenizo serve as a good example for performing the analysis of the study represented by a large number of nodes formed by the straight and oblique streets. Even the residents living beyond the outskirts of El Cenizo had their houses close to the outer streets and could be projected easily to the nearest one. A very small number of houses were too far from the outer streets.

### 5.4.1 Problem Definition

A transit bus is assigned for pick up/drop off starting from the depot to the customer demand points. The bus would stop at each point just once and in a manner to cover all the points in its way back to the depot. This is a TSP problem with the additional time constraint that the vehicle needs to be back at the depot every given interval (headway). As mentioned, the distance and the path between any two consecutive nodes/elements of the list of nodes were computed using the Dijkstra's algorithm.

There are a number of algorithmic approaches that could suggest a possible route that the bus should follow during its journey, such as the local search algorithm, the neighborhood search techniques and insertion heuristics that perform the task of deciding the most efficient sequence of the order of requests for service. The local search algorithm, for example, is a metaheuristic approach for solving optimization problems which are computationally hard. Local search is used on maximizing problems that can be formulated by using a criterion among a number of solutions. These solutions are particularly known as the candidate solutions. Local search algorithms use the search space looking for a solution by moving from one solution to another until a solution which could be optimal is found. If the optimal solution is still at large, time bound criteria is used to converge the search. There are lists of criteria that relate to various aspects of an algorithm performance. These criteria decide the evaluation of any heuristic method based algorithm on running time, quality of solution, ease of implementation, robustness and flexibility.

However, the insertion heuristic has proven to be a popular method for solving a variety of vehicle routing and scheduling problems, since it guarantees a good solution with less computational time when the numbers of nodes present are numerous, and has thus been adopted in this research study for simulation.

The insertion heuristic algorithm involves a list or array estimation in MATLAB that would assign a route for the transit bus. The shortest distance for example 'DT' obtained using the Dijkstra's algorithm discussed earlier is used as input to the insertion heuristic algorithm as 'Distance (i, j)' with 'i' and 'j' being the two nodes. Insertion heuristics evaluates and computes

a sequence of the order of the requests for using the bus service in a given bus service time interval. The output is stored in the form of a list.

The algorithm for the insertion heuristic is outlined below [10] where  $p^*$ ,  $i$ ,  $j$  and  $r$  are variables

```
N = set of unassigned customers
R = set of routes; contains the empty route;
initially contains only the empty route
while N ≠ j do
   $p^* = -\infty$ 
  for  $j \in N$  do
    for  $r \in R$  do
      for  $(i-1, i) \in r$  do
        if Feasible ( $i, j$ ) and Distance ( $i, j$ ) >  $p^*$ 
           $r^* = r$ 
           $i^* = i$ 
           $j^* = j$ 
           $p^* = \text{Distance}(i, j)$ 
        end if
      end for
    end for
  end for
  Insert ( $i^*, j^*$ )
   $N = N \setminus j^*$ 
  Update ( $r^*$ )
end while
```

The insertion heuristic analyzes the feasibility and total distance if a node is inserted between two existing nodes. At the beginning there are only two nodes both being the depot locations represented as start point and the end point of the bus trip. The projected demand nodes as they pop up are inserted between the start and end point (depots) in the corresponding time windows. The feasibility is evaluated depending on the time taken to serve the node within a given time window of the bus service. If the trip is feasible the insertion heuristic gives an order of service. This is performed for the next node that appears in the order it appeared in a given time frame. The second node is inserted between two such nodes that minimize the total distance for the scheduled trip. This procedure is repeated for the entire set of projected demand nodes that have appeared. As an example, an output form of the procedure described above is [DP 4 5 1 7 DP] which is in the form of a list. This means that the transit bus starts its journey from the point DP (depot) and comes back at DP via nodes 4,5,1,7 in the same order. The cost of this path is simply the total distance the bus has to travel from the depot (DP) via the four nodes and back to the depot. Assuming that this is the order of scheduling obtained using insertion heuristic for a given time window of bus service, the route the bus would utilize is decided starting from the depot and ending at the depot through nodes 4 => 5=> 1=>7. The example discussed above is executed for any route selection scheme needed in the simulations.

## 5.5. SATURATION POINT ESTIMATION

In this section the principles of the insertion heuristics described earlier are utilized for our actual simulation. A set of demand points or requests for using the transit bus service are created and sorted for pick up and drop off using the insertion heuristic approach. The total requests used as

input was varied from 20 passengers up to 280 passengers who would need a ride and to be picked up and dropped off from their points of request. A stop time of 30 seconds is assumed for the bus at the depot and the stop where a passenger gets on or off the bus. A uniform speed of 20 miles per hour is assumed for the speed of the transit bus as the area of El Cenizo is a residential one. The bus service time interval is assumed to be 30 minutes to start with. This allows us to obtain an estimate of the number of passengers that the bus can service easily by picking them up from their nearest street and dropping them off at the depot or vice versa. The assumptions underlying the simulation input have been compiled below.

### **Simulation Assumptions for saturation point estimation**

Service network: *El Cenizo street network*

Depot Location: *Intersecting of streets Espejo Molina Rd. and Rodriguez.*

Total Number of Customers: *Variable (range 20 -280)*

Vehicle speed: *20 mph*

Request time distribution within each bus service interval: *Uniformly Random*

Fleet size: *1*

Vehicle capacity: *Infinite*

Time Taken for a Pick up: *30 seconds*

Time Taken for a Drop off: *30 seconds*

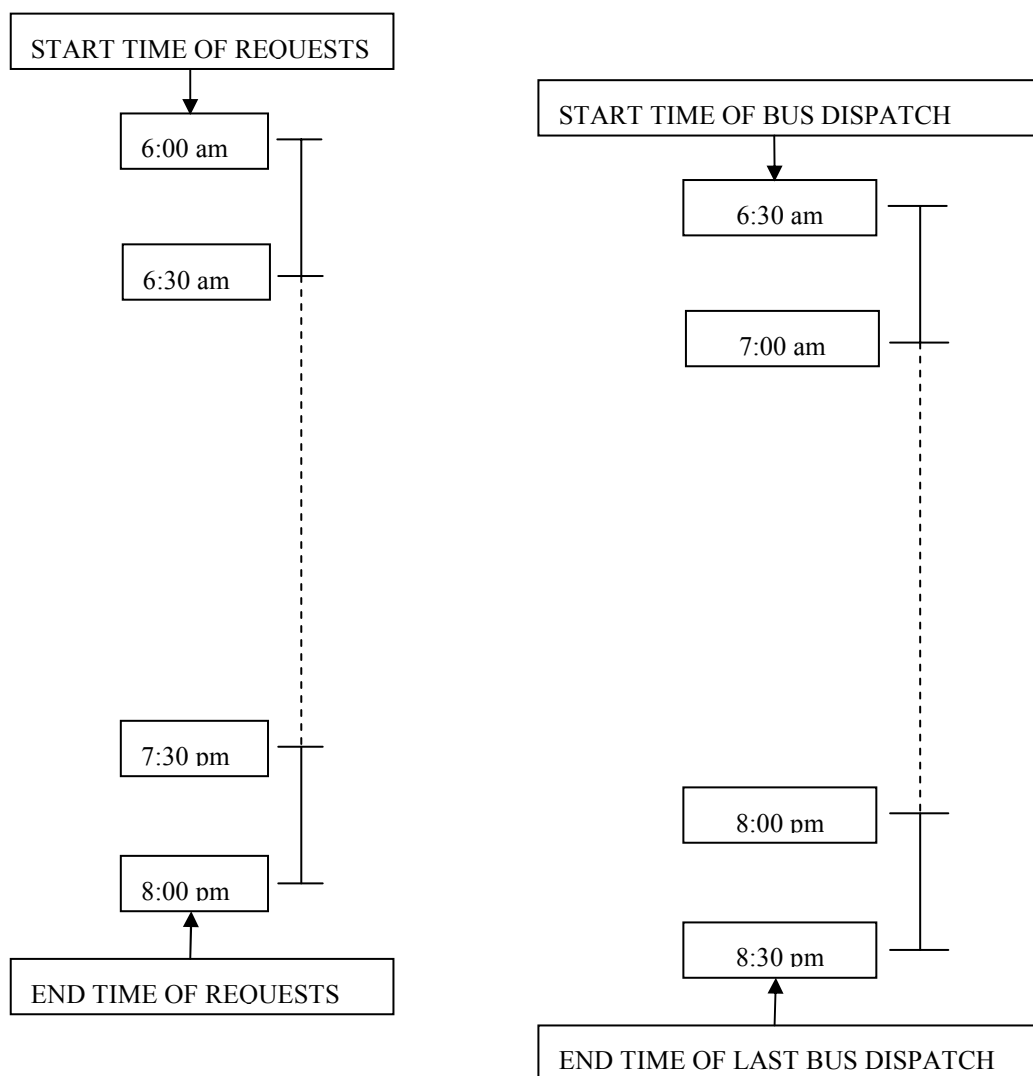
Dwell time at the depot before leaving for pick-up/drop-off: *30 seconds*

Headway or the bus service time interval: *30 minutes*

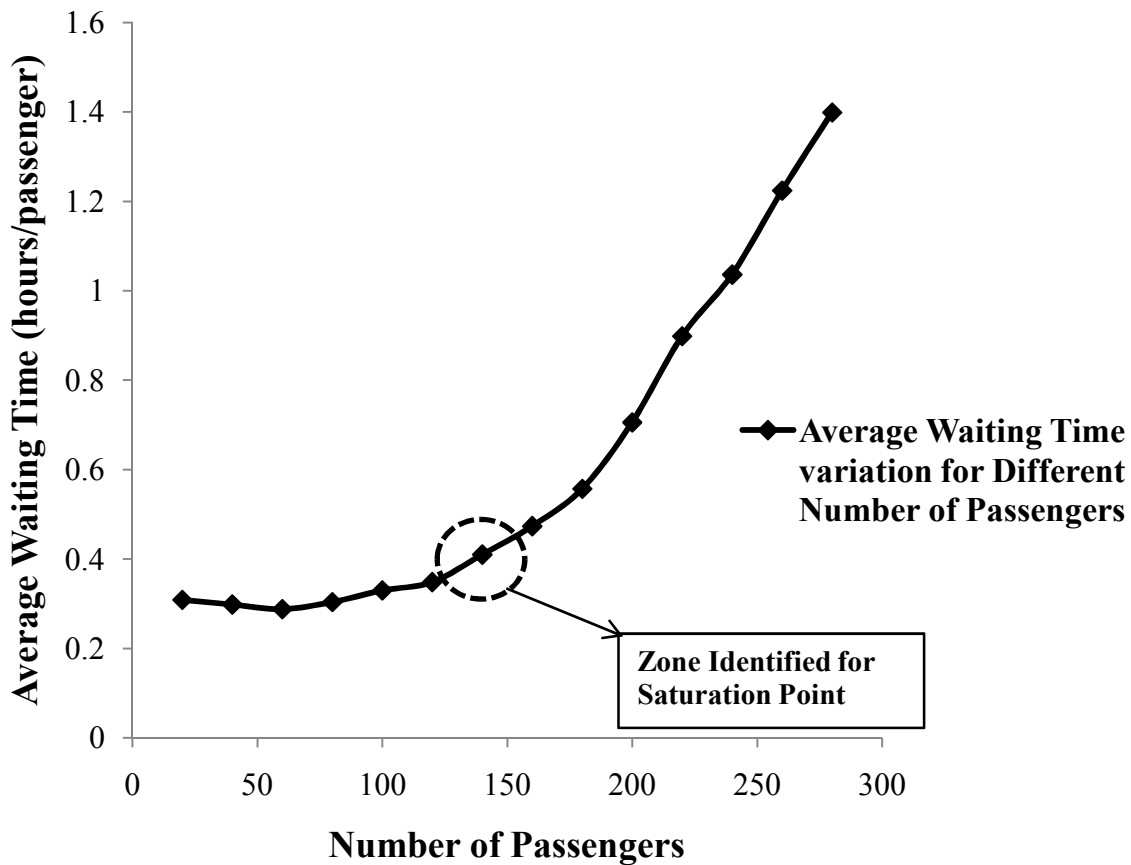
Each individual passenger has the freedom to choose any time between 6 am to 8 pm for making a request and this too is decided by the cdfs as shown previously in figure 17 and 18. If the choice of a random number does not give a departure or arrival time within 6 am to 8 pm another

random number is generated so that finally his service request falls between 6 am to 8 pm. This is done primarily because it is based on the assumption that once the requests for using the bus service are ready, the transit agency would start its operation of dispatching a bus from 6:30 am onwards till 8:30 pm. Thus this process is repeated for entire range of passengers from 20 to 280 in number with an interval of 20.

An outline of the above discussion is presented below.



The graph is plotted for the average waiting time versus the number of passengers who made the advance requests for using the transit service. A point known as the saturation point is identified where the system becomes unstable with a sudden rise in the average waiting time in the graph. This means that a single demand responsive vehicle is not able to serve the increasing demand and the corresponding queues become unstable. Graph in figure 22 shows this saturation point to be around 150 numbers of passengers.

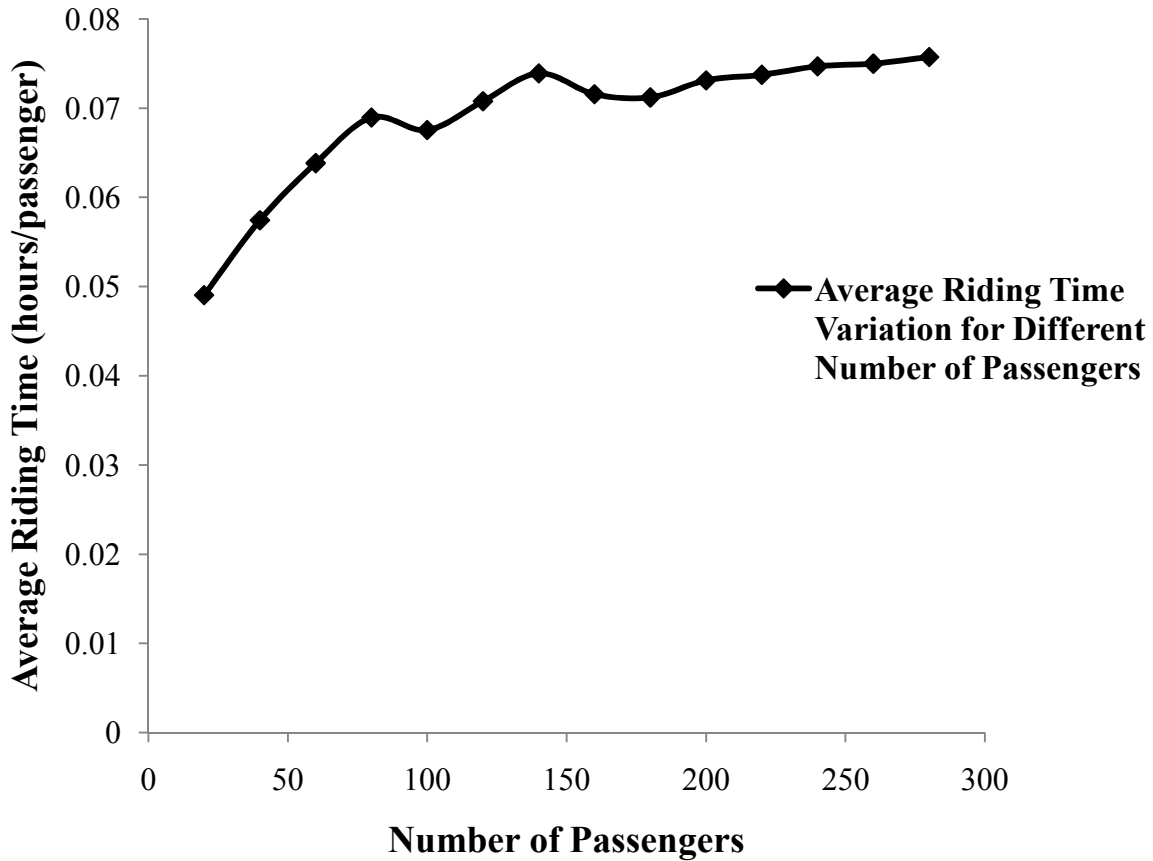


**FIGURE 22: Saturation point estimation using average waiting time versus number of passengers using the transit bus.**



The analysis is further extended to get a big picture of the proposed demand responsive system. The U.S. Census Bureau gives total households of 730 for the residential city of El Cenizo. By using the trip rates obtained from our survey data, the trips for entire households of El Cenizo would be approximately 1,834. These trips would consist mainly of the work trips and school trips. Our proposed demand responsive system can handle 150 daily trips (saturation point), which corresponds to approximately 8% of trips generated in El Cenizo. This percentage is very high compared to a 3.81% of combined commuters using the buses, rail and transit in the United States as per the 2005 estimates of the Bureau of Transportation Statistics. We could conclude that a single vehicle DRT service would suffice for serving the transportation needs of El Cenizo, assuming that residents' behavior would fall within national statistics. We would, however, expect a transit usage above average for Colonias because of the poverty level (less private cars) and because of the demand responsive characteristic of the proposed service.

In figure 23 the average riding time is plotted. It is observed that the values of the average riding time is relatively low compared to the average waiting time values for the same set of numbers of passengers.



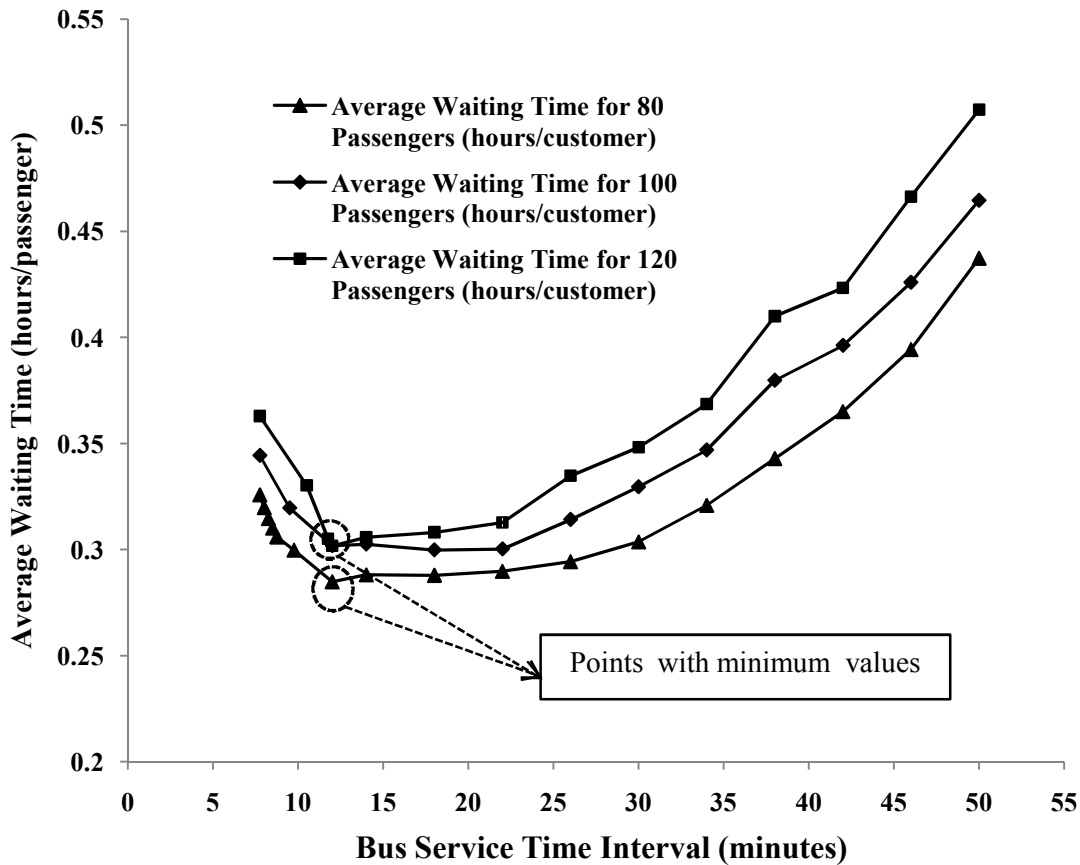
**FIGURE 23: The average riding time variation with the total number of passengers using the transit bus.**

### 5.6. ESTIMATING OPTIMUM BUS SERVICE TIME INTERVAL

The bus service time interval or the headway can make a considerable difference in the waiting time or the riding time for the passengers. Three sets of simulations are carried out by restricting the bus service time interval or headway at several fixed values and using the total number of passengers such as 80, 100 and 120 as input for each of the three sets. The charts in figure 24 and figure 25 represent the average waiting time and the average riding time variation for a series of bus service time intervals. The graphs have been plotted for these total numbers of passengers assuming they use the bus service on a given day. The simulation assumptions for saturation

point estimation remain the same as for the saturation point previously estimated except for the headway and the total number of customers.

The total numbers of passengers for this part of simulation (namely 80, 100 and 120) have been chosen such that they lie below the approximate saturation point of 150 estimated using the curve in figure 22. Figure 24 shows the variation of average passenger waiting time with various bus service time intervals obtained using the simulation results. The bus service time interval varies from 7.75 minutes to 12 minutes for every 15 seconds. And from 12 minute to 50 minutes the bus service time interval varies for every 4 minutes or 240 seconds. The minimum time interval of 7.75 minutes is selected to start with primarily due to the fact that this time headway happens to be the minimum time the bus could take to traverse from the depot to the farthest demand point possible in a single pick up or drop off of a passenger. The upper limit on the bus service interval is fixed at 50 minutes as the average waiting time starts to increase beyond 22 minutes for all the total number of passengers chosen for the simulation. In other words the 50 minute bus service time interval serves as the upper limit for the headway.



**FIGURE 24: The average waiting time variation versus the bus service time interval**

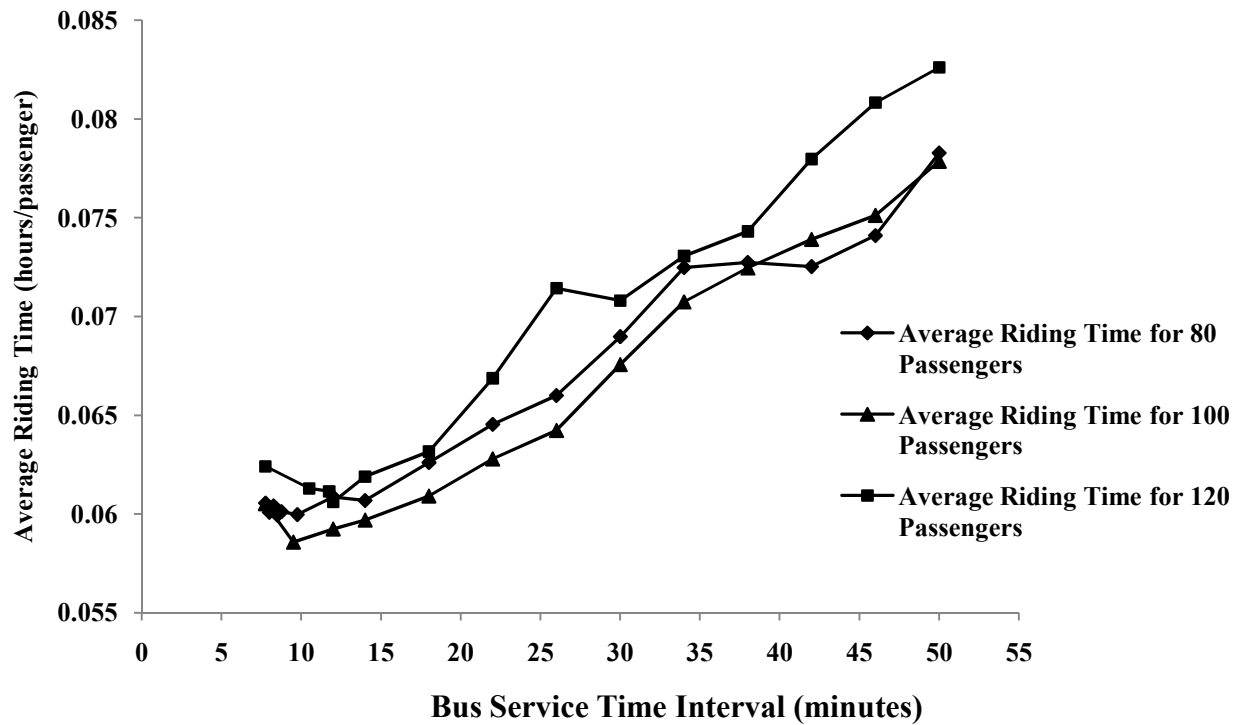
It is evident in all three curves from the graph in figure 24 that there is a sharp decrease in the average waiting time from 7.75 minutes of bus service time interval to 12 minutes of bus service time interval. Then, it becomes steady with a slight rise till 20 minute interval and then it rises sharply beyond the 20 minute interval to 50 minute interval. In fact, with too low service time interval, there is too much time spent to come back to the depot and no time for an efficient ridesharing; with too high time interval, customers wait too much time waiting for service. All curves show the presence of a minimum value which would be desirable for optimal service quality.

The graphical output of figure 24 is summarized in the table 2 below for various number of passengers used in the simulation.

Table 2: Minimum average waiting time and bus service time interval

<b>SN</b>	<b>Number of Passengers</b>	<b>Minimum average waiting time (hours/passenger)</b>	<b>Bus service time interval (minutes)</b>
1	80	0.28	10-12
2	100	0.30	10-12.5
3	120	0.30	12.5

Similar simulations were carried out to observe the average riding time variations. The graph in figure 25 shows the average riding time variation with the bus service time interval. And there is an increase in the average riding time from 10 minutes onwards though this increase is undulating over the 10 to 50 minute service time interval.



**FIGURE 25: The average riding time variation versus the bus service time interval**

## 5.7 COST FUNCTION

One of the important characteristics of the transportation demand is the aggregation of the decisions of the trips within a given area. The user demand for a service could be predicted by modeling the individual trip makers and summing up all trip makers to obtain the aggregate demand predictions. This is all the more important in the planning for evaluation purposes. The most commonly used process for evaluating an individual choice model is using the concept of the utility maximization or disutility minimization. An individual will make a selection based on the maximum utility or minimum disutility obtained from the choice, that is, satisfaction. A mathematical model existing in the theory of consumer behavior could be built to illustrate this fact. The individual's decision making could be considered to be the minimizing process of a disutility function ( $-U$ ) defined in equation (7)

$$U = \alpha w_1 + \beta w_2 + \phi w_3 + \delta w_4 + \dots \quad (7)$$

The parameters  $\alpha$ ,  $\beta$ ,  $\phi$  and  $\delta$  are the weights to the factors  $w_1$ ,  $w_2$ ,  $w_3$  and  $w_4$  that influence the disutility function (U). The factor  $w_i$  ( $i=1, 2, 3, 4, \dots$ ) could be waiting time, riding time, fare or anything that affects the mode choice of the users.

The users evaluate the bus service or any transit service based on a number of factors. The users are assumed to assign at least an ordinal ranking to the mode choice available in terms of their utility. It is obvious that most of the users would choose the alternative that gives them the maximum utility or minimum disutility. The microeconomic theory of disutility minimization is seen well when it comes to transportation planning or a transit choice that a user has to make. It is reasonable to say that when it comes to the transit choice users try to minimize the waiting and the riding time. This is assumed with the view that minimizing the waiting time and the riding time would eventually lead to maximizing comfort and convenience. Thus utility or disutility is a simple representation of a function that takes into account the pros and cons involved in trip making.

The model for the simulation is simplified by assuming a weight parameter for the disutility function above. For simplification the values for the parameters are assumed to be half and thus a new disutility function is obtained for (U).

We thus have,

$$U = 0.5w + 0.5r$$

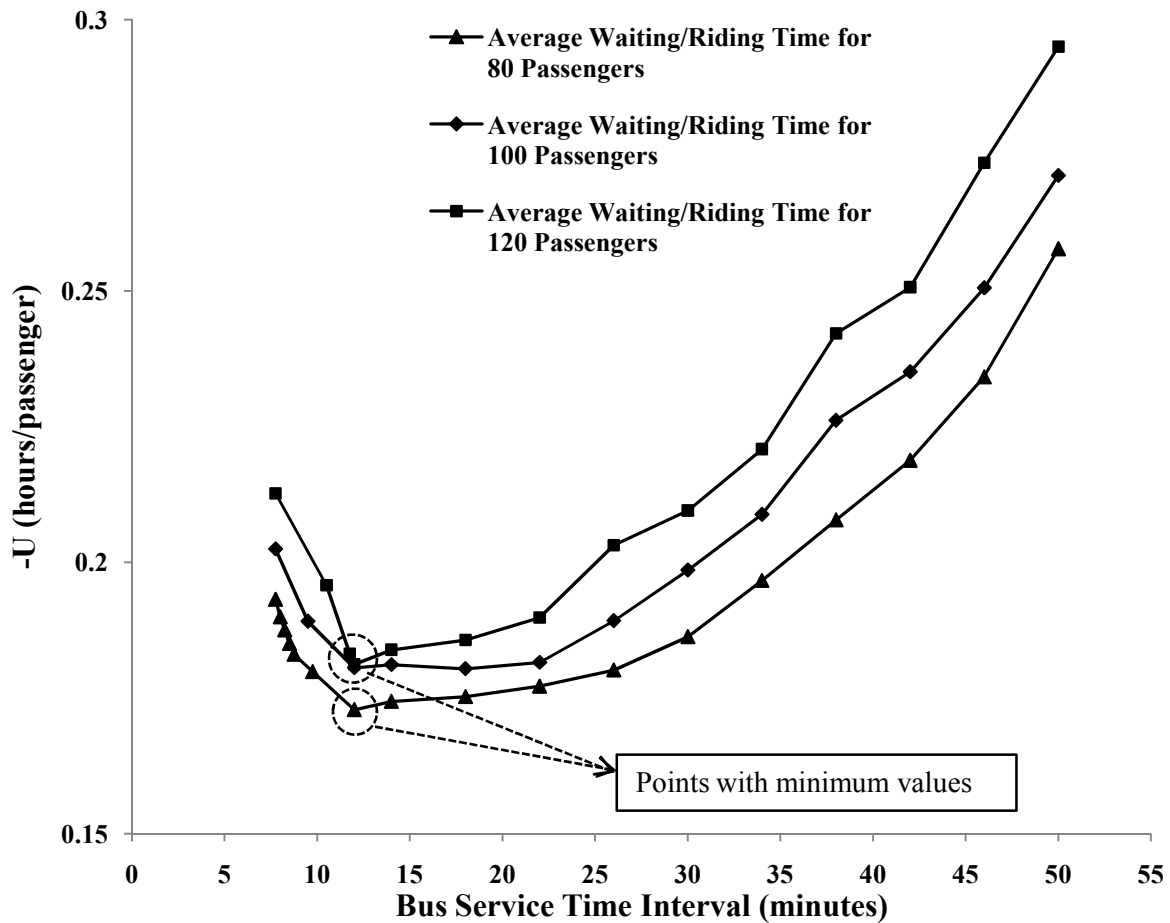
Where,

$U$  = disutility function

$w$  = average waiting time and

$r$  = average riding time.

A graph for the above disutility function is shown in figure 26 below.



**FIGURE 26: Average waiting time and average riding time clubbed together.**

The graph in figure 26 clearly shows that the average riding time has negligible effect on the overall disutility function for an equal weight as given to the average waiting time. The curves in



figure 26 look similar to the curves in figure 24 plotted for only the average waiting time versus the bus service time interval. Hence it is evident from the graph that the disutility function achieves a minimum for a bus service time interval of around 11-13 minutes for the 80,100 and 120 numbers of passengers.



## CHAPTER 6. CONCLUSIONS

The objective of this research was to analyze the travel demand patterns in El Cenizo and to perform a feasibility/design study for the possible implementation of a demand responsive “feeder” transit system in the El Cenizo area.

The average household size in the survey was 4.25, which is larger than the national average. The ownership of a private vehicle in each household is relatively low, reflecting the underprivileged conditions of these residents: approximately one-fourth of the respondents do not own a private vehicle. Trips’ peak times correspond to the typical residents’ time of departure and arrival times. Such peak times also exist for school trips. As for the mode choice for different trip purposes, bus and shared rides are more likely to be chosen for health related and grocery trips than work trips. Although some transit service is provided, it only operates during a limited period of time and with very limited accessibility for residents. Thus the travel demand cannot be satisfied by the current transportation system. In fact, the majority of respondents indicated that they “Definitely will” or are “Likely” to use the a hypothetical new shuttle service for all trip purposes. Safety, punctuality, and fare are the first three most important characteristics to respondents.

The survey results were useful to better understand the current travel demand patterns of El Cenizo and were used as input for a simulation study conducted to evaluate the possible implementation of a new demand responsive service within the area. Results obtained by employing the latest version of Matlab software indicated that a single demand responsive feeder transit service would be able to comfortably serve about 150 passengers/day (maximum

capacity), corresponding to about 8% of the total daily demand in El Cenizo. This percentage is approximately double the national transit usage average for residential areas.

The last part of the study was devoted to estimating the optimal headway between consecutive vehicles to maximize service quality provided to customers, a combination of waiting time and riding time. An optimal value ranging between 11 and 13 minutes was found for plausible demand ranges. These values can be used by planners for design purposes of a new transit bus service within El Cenizo or areas with similar demographics and geometry.

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## APPENDIX

Questionnaire

### ***Travel Patterns Questionnaire - El Cenizo, TX***

This survey will help us understand the travel patterns in this area. Furthermore, it will help us estimate the potential demand for an improved shuttle transit service.

Your cooperation and time will help our research project and is greatly appreciated.

#### **First Part - Basic data:**

Q1. How many people currently live in this Household?

- |                            |                                     |
|----------------------------|-------------------------------------|
| <input type="checkbox"/> 1 | <input type="checkbox"/> 6          |
| <input type="checkbox"/> 2 | <input type="checkbox"/> 7          |
| <input type="checkbox"/> 3 | <input type="checkbox"/> 8          |
| <input type="checkbox"/> 4 | <input type="checkbox"/> 9          |
| <input type="checkbox"/> 5 | <input type="checkbox"/> 10 or more |

Q2. Describe the relationship and age of all members of this Household.

Household Member *	Age	Household Member *	Age

\*[Father, Mother, Sister, Brother, Grandmother, Cousin, others...]

Q3. What kinds of vehicles does your household own and how many of each?

- |   |           |
|---|-----------|
|   | How many? |
| <input type="checkbox"/> Car                    | _____     |
| <input type="checkbox"/> SUV/Van                | _____     |
| <input type="checkbox"/> Truck                  | _____     |
| <input type="checkbox"/> Motorcycle             | _____     |
| <input type="checkbox"/> Bicycle                | _____     |
| <input type="checkbox"/> Other (specify: _____) | _____     |
| <input type="checkbox"/> None of the above      |           |

## Second Part - Travel demand pattern:

Q1. On a typical work day, specify the following information for each household member who goes to work

Household Member*	What time do you leave home?	What time do you come back?	What mode do you use? ◇	Where do you go?	Any comments on your trip?

\* [Father, Mother, Sister, Brother, Grandmother, Cousin, others...]

\* If a household member goes to work and comes back more than once per day, please use another row.

◇ Car, SUV/Van, Truck, Motorcycle, Bicycle, Bus/Shuttle, others (please specify)

Q2. On a typical **school day**, specify the following information for **each household member** who goes to **school/college**

Household Member*	What time do you leave home?	What time do you come back?	What mode do you use? ◇	Where do you go?	Any comments on your trip?

\* [Father, Mother, Sister, Brother, Grandmother, Cousin, others...]

\* If a household member goes to school and comes back home more than once per day (like morning and afternoon), please use another row.

◇ Car, SUV/Van, Truck, Motorcycle, Bicycle, Bus/Shuttle, others (please specify)

Q3. How many times **per month** do you or any other member of your household need to take a trip for **health related activities** (such as hospital check-ups or doctor appointments)?

- 1-3
- 4-6
- 7-10
- 11-15
- 16 or more

Q4. What kind of mode do you use the most for health related activities?

- Car
- Motorcycle
- Paratransit
- Bus/Shuttle
- SUV/Van
- Others: \_\_\_\_\_
- Bicycle
- Truck

Q5. Where do you most often go for health related activities?

(Cities/Hospitals, please specify)

\_\_\_\_\_/ \_\_\_\_\_

\_\_\_\_\_/ \_\_\_\_\_

\_\_\_\_\_/ \_\_\_\_\_

\_\_\_\_\_/ \_\_\_\_\_

Q6. How many times **per week** do you or any other member of your household need to take a trip to a store for **groceries/food**?

- 1       2       3       4       5       6       7
- (or more)

Q7. What kind of mode do you use the most to shop for groceries/food?

- Car
- Motorcycle
- Others: \_\_\_\_\_
- Bus/Shuttle
- SUV/Van
- Bicycle
- Truck

Q8. Where do you shop the most for groceries/food?

(Local supermarkets or other cities, please specify)

\_\_\_\_\_/ \_\_\_\_\_/ \_\_\_\_\_

\_\_\_\_\_/ \_\_\_\_\_/ \_\_\_\_\_

Q9. Do you or any other member of your household leave the house and come back regularly for any other activity (such as recreation, sports, church, etc...)?

Yes, go to Q10

No, go to Next Page

Q10. For each of those activities, please complete the following table.

Type of activity	Number of trips <b>per week</b>	Mode*	Destination (Location/Town)

\* Car, SUV/Van, Truck, Motorcycle, Bicycle, Bus/Shuttle, others (please specify)

### Third Part - Potential Travel demand pattern:

Assume that a **new shuttle transit service** is available for you to use.

The shuttle service would *pick you up at home*, would take you to any location you need to reach in the El Cenizo/Laredo area and surrounding area and would *bring you back home*, without the need of using other modes of transportation. One or more transfers between shuttles might be needed, depending on what location you need to reach.

Q1. For each activity, how likely would you consider switching from your current mode of transport to the new shuttle transit service (assuming that the *cost* and the *time* to reach destination would be *no more* than what you are experiencing now)?

Likelihood \ Activity	Definitely	Likely	Maybe	Unlikely	Definitely Not
Work					
School					
Health					
Grocery					
...					
...					
...					
...					

Q2. Please rank in order of importance the following characteristics of the new shuttle service. [Put **1** for the most important and **6** for the least important in your opinion]

- Fare \_\_\_\_\_
- Waiting time before pick-up \_\_\_\_\_
- Ride time to destination \_\_\_\_\_
- Punctuality \_\_\_\_\_
- Level of comfort \_\_\_\_\_
- Safety \_\_\_\_\_